



Plattsburgh, New York

Randal J. Stone
Fire Chief

Plattsburgh Fire Department
65 Cornelia Street
Plattsburgh, NY 12901
Tel: 518-561-5965
Fax: 518-561-8236
stoner@cityofplattsburgh-ny.gov

MEMO

TO: Mayor James Calnon
Members of the Common Council

FROM: Assistant Fire Chief, Randal Stone

DATE: May 22, 2014

RE: Fire and Ambulance Responses

For this two week period: Thursday, May 8, 2014 to Wednesday, May 21, 2014
our Department has responded to the following:

Fire Calls

31

- 1 trash/rubbish fire
- 7 system activations
- 15 EMS assist
- 2 MVA
- 1 building fire
- 1 excessive heat/scorch burns
- 1 unauthorized burning
- 2 service call
- 1 assist

Ambulance Calls **94**

Mutual Aid by CVPH **14**

BUILDING INSPECTOR'S COMPLAINT REPORT: MAY 20, 2014

DATE	COMPLAINT NUMBER	ADDRESS	DESCRIPTION	INSPECTOR	VIOLATION	STATUS	COMPLETION DATE
03/27/14	18	COUCH ST	GARBAGE	JM	VIOLATION NOTICE ISSUED	CORRECTED	04/08/14
04/10/14	45	LAFAYETTE ST	GARBAGE	KP	VIOLATION NOTICE ISSUED	CORRECTED	04/21/14
04/10/14	45	SALLY AVE	MATTRESS ON CITY PROPERTY	KP	VIOLATION NOTICE ISSUED	CORRECTED	04/21/14
04/10/14	30	SALLY AVE	DEBRIS	KP	VIOLATION NOTICE ISSUED	CORRECTED	04/21/14
04/11/14	12	ALLEN ST	DEBRIS	KP	VERBAL NOTICE OF VIOLATION	CORRECTED	05/12/14
04/15/14	67	BRINKERHOF ST	GARBAGE	KP	VERBAL NOTICE OF VIOLATION	CORRECTED	04/21/14
04/15/14	83	BRIDGE ST	CHANGING SIGNAGE (FLASHING LIGHT)	JM	CAUTION LIGHT FOR ROOF OF TRUCK	NOT A VISUAL DISTRACTION TO MOTORISTS	04/16/14
04/16/14	52	BROAD ST	GARBAGE	KP	VERBAL NOTICE OF VIOLATION	CORRECTED	04/21/14
04/24/14	138	MONTCALM AVE	ROOF INSTALL, NO BUILDING PERMIT	KP	VERBAL NOTICE OF VIOLATION	CORRECTED	04/29/14
04/30/14	4	LINCOLN LANE	LINE WIRE, LOAD WIRE AND METER PAN NEED REPLACEMENT	KP		CORRECTIVE ACTION IN PROCESS	
05/06/14	78	BROAD ST	THREE VEHICLES PARKED ON LAWN	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	72	BROAD ST	UNPAVED DRIVEWAY	KB		CORRECTIVE ACTION IN PROCESS	

DATE	STREET	STREET NAME	COMPLAINT	INSP	INSPECTION REPORT	CORRECTION	CORRECTION DAY
05/06/14	71	BROAD ST	UNPAVED DRIVEWAY	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	158	BROAD ST	SUV PARKED ON TOP OF CURB AND IN STREET	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	186	BROAD ST	UNPAVED DRIVEWAY	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	156	BROAD ST	UNPAVED DRIVEWAY	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	142	BROAD ST	UNPAVED DRIVEWAY	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	94	BROAD ST	UNPAVED DRIVEWAY	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	54	BROAD ST	UNPAVED DRIVEWAY	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	100	PROSPECT AVE	SUV PARKED ON LAWN ROADSIDE	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	93	PROSPECT AVE	UNREGISTERED/UNLICENSED VEHICLE IN YARD	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	30	LEONARE AVE	4" RUT IN FRONT YARD FROM VEHICLE PARKING	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	178	RUGAR ST	FRONT YARD PARKING	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	154	RUGAR ST	DIRT DRIVE	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	148	RUGAR ST	BOAT PARKED IN YARD AREA	KB		CORRECTIVE ACTION IN PROCESS	

05/06/14	126	RUGAR ST	LAWN PARKING	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	17	BOYNTON AVE	FRONT YARD IS MUD PIT WITH VEHICLE PARKING	KB		CORRECTIVE ACTION IN PROCESS	
05/06/14	41	MORRISON AVE	TWO VEHICLES PARKED IN YARD ALONG PAVED AREA, BOAT STOWED ON LAWN	KB		CORRECTIVE ACTION IN PROCESS	
05/07/14	144	MILLER ST	FLEA MARKET	KP		CORRECTIVE ACTION IN PROCESS	
05/09/14		PIKE ST (PIKE HOUSE)	SKUNK FORAGING AROUND DURING DAYLIGHT HOURS	JM	SPOKE TO COMPLAINANT, REFERRED TO PPD	CORRECTED	05/09/14
05/09/14	8	SOUTH PERU STREET	DEBRIS	KP		CORRECTIVE ACTION IN PROCESS	
05/09/14		UNDERWOOD AVE	NEIGHBOR GOING OVER PROPERTY LINES	KB		CORRECTIVE ACTION IN PROCESS	
05/12/14		GOLD ST	GARBAGE AND DISCARDED FURNITURE	KP		CORRECTIVE ACTION IN PROCESS	
05/12/14	48	MILLER ST	MATTRESS AND CHAIR	KP		CORRECTIVE ACTION IN PROCESS	
05/12/14	47	CLINTON ST., APT. # 201	REFERRED BY MARYANNE OF HEALTHY NEIGHBORHOODS FOR CHIPPING PAINT, MOLD	CB		CORRECTIVE ACTION IN PROCESS	
05/13/14	46	COUCH ST	INDOORS FURNITURE ON FRONT PORCH	KP		CORRECTIVE ACTION IN PROCESS	
05/14/14	19	UNDERWOOD AVE	NEIGHBOR GOING OVER PROPERTY LINES	KB		CORRECTIVE ACTION IN PROCESS	
05/14/14	74	COURT ST	MATRESSES AND GARBAGE	KP		CORRECTIVE ACTION IN PROCESS	

DATE	SHEET	STREET NAME	COMPLAINT	INS	INSPECTION REPORT	CORRECTION	CORRECTION DATE
05/15/14	63	BROAD ST	SIGN PERMIT REQUIRED (DPW REC'D DIG SAFE CHECK)	CB	SIGN CONTRACTOR INQUIRY, NO VIOLATION	NO VIOLATION TO CORRECT	05/15/14
05/16/14	4992	SOUTH CATHERINE ST	EXCESS SIGNAGE	JM		CORRECTIVE ACTION IN PROCESS	
05/16/14	14	PALMER ST	EXCAVATION IS ON NEIGHBOR'S PROPERTY	KP		CORRECTIVE ACTION IN PROCESS	
05/19/14	334	CORNELIA ST	REQUEST FOR FIRE PREVENTION FOLLOW UP	CB		CORRECTIVE ACTION IN PROCESS	
05/19/14		COUCH ST	LAWN CLIPPINGS LEFT ON SIDEWALK	KP		CORRECTIVE ACTION IN PROCESS	
05/19/14	5452	PERU ST	HEDGE NEEDS TO BE TRIMMED, FRONT YARD SETBACK NEEDS TO BE RAKED	KP		CORRECTIVE ACTION IN PROCESS	
05/19/14	44	LEONARD AVE	RENTAL HOUSING VIOLATIONS	CB		CORRECTIVE ACTION IN PROCESS	
05/20/14	51	MCGAULLEY AVE	MOLD	KP		CORRECTIVE ACTION IN PROCESS	
05/20/14	132	PINE ST	TRASHCANS	KP		CORRECTIVE ACTION IN PROCESS	
05/20/14	57	SAILLY AVE	TRASHCANS AND PARKING ON THE LAWN	KP		CORRECTIVE ACTION IN PROCESS	
05/20/14	58	SAILLY AVE	PARKING ON FRONT LAWN	KP		CORRECTIVE ACTION IN PROCESS	
05/20/14	56	SAILLY AVE	PARKING ON FRONT LAWN	KP		CORRECTIVE ACTION IN PROCESS	
05/20/14	67	SAILLY AVE	PARKING ON FRONT LAWN	KP		CORRECTIVE ACTION IN PROCESS	

05/20/14 4-8 WALWORTH ST PARKING ON BACK LAWN KP CORRECTIVE ACTION IN PROCESS

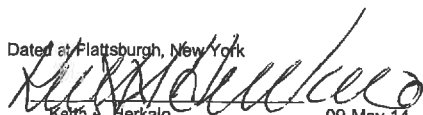
CITY OF PLATTSBURGH, NEW YORK
OFFICE OF THE CITY CLERK

Following is a complete statement of all monies received during the month of:

"Apr 14

REVENUE SOURCE	CITY REVENUE	DUE OTHERS	TOTAL
Bingo Licenses (City)	25-2540	0.00	0.00
Bingo Licenses (State)	1-0632	0.00	0.00
Bingo License Fees 3%	25-2540	330.63	330.63
Building Permits	25-2555	4084.00	4084.00
Circus License	25-2502	120.00	120.00
City Code	12-1255	0.00	0.00
Code Civil Compromise	26-2614	0.00	0.00
Contractor Fees	25-2557	350.00	350.00
Dog Licenses	25-2542	367.50	367.50
State Neuter/Spay surcharge		53.00	53.00
Extract of Records	12-1255	8.75	8.75
Game of Chance Lic. (City)	25-2541	0.00	0.00
Game of Chance Lic. (State)	1-0632	0.00	0.00
Gas Permits	15-1540	45.00	45.00
Going Out of Business Lic	25-2509	0.00	0.00
Hauler's Licenses	25-2505	0.00	0.00
Housing Code	21-2110	0.00	0.00
Impound Fees	15-1550	100.00	100.00
Interest Temp	1124-2401	24.51	24.51
Jeweler's Licenses	25-2503	0.00	0.00
Marriage Licenses	25-2545	402.50	920.00
Notary Fees	12-1255	8.00	8.00
Peddler/Vendor Licenses	25-2503	175.00	175.00
Returned Check Charges	12-1255	0.00	0.00
Sign Permits	25-2590	50.00	50.00
Specifications	T-30	250.00	250.00
Special Use Permits	21-2110	0.00	0.00
Subdivision Fee	21-2110	0.00	0.00
Subdivision Ordinance	12-1255	0.00	0.00
Taxi Operator's Licenses	25-2507	370.00	370.00
Taxi Vehicle Licenses	25-2504	100.00	100.00
Tree/Stump Removal License	25-2508	0.00	0.00
Vital Statistics	16-1603	4718.00	4718.00
Zoning Ordinances	21-2110	25.00	25.00
Zoning Variances	21-2110	400.00	400.00
			0.00
OTHER REVENUE			
Riverwalk			
1127-2753	165.00		165.00
Auditorium			
1127-2752	0.00		0.00
Centennial Plaques			
1127-2705	0.00		0.00
Lake Champlain Memorial			
1127-	0.00		0.00
RECOVERED FUNDS			
Telephone			
1-1410000-4414	0.00		0.00
Postage			
1-1410000-4470	0.00		0.00
Print & Copy			
1-1410000-4431	5.25		5.25
DISBURSEMENTS:			
	\$11,849.14	\$820.50	\$12,669.64
N.Y.S. Dept of Health	\$517.50	Check No 1309	
N.Y.S. Dept of Ag & Mkts	\$53.00	Check No 1310	
Total Paid Others:			\$570.50
ADJUSTMENT: None			\$0.00
Chamberlain (Spec. Deposits)	\$250.00	Check No 1311	
Chamberlain (Net Revenues)	\$11,849.14	Check No 1312	
Amount Due City Chamberlain:			\$12,099.14
			\$12,669.64

Dated at Plattsburgh, New York


Keith A. Herkalo,
City Clerk

09-May-14



Plattsburgh, New York

David M. Powell
Chief Plant Operator

Water Pollution Control Plant
53 Green Street
Plattsburgh, New York 12901
518-563-7172
Fax: 518-566-8540

May 8, 2014

Honorable Mayor James Calnon
and Members of the Common Council
41 City Hall
Plattsburgh, NY 12901

**RE: WATER POLLUTION CONTROL PLANT -
REQUEST FOR PERMISSION TO ADVERTISE
FOR BIDS FOR INSTRUMENTATION SERVICES
FOR WPCP - CONTRACT #2014-21**

Dear Mayor Calnon and Councilors:

I respectfully request authorization to advertise for bids for **Instrumentation Services for the Water Pollution Control Plant** for the one-year period beginning on June 28, 2014. In the 2014 budget, \$32,000 was budgeted for this service.

Bids to be received at the Office of the City Clerk, in sealed envelopes marked **"Instrumentation Services for WPCP, Contract #2014-21"**, on or before 11:00 a.m., Monday, June 9, 2014. Bid specifications will be available at the City Clerk's office on or after May 23, 2014.

Very truly yours,

David M. Powell
Chief Plant Operator

DMP:bl

cc: Jon Ruff
Files (2)



Plattsburgh, New York

David M. Powell
Chief Plant Operator

Water Pollution Control Plant
53 Green Street
Plattsburgh, New York 12901
518-563-7172
Fax: 518-566-8540

May 8, 2014

Honorable Mayor James Calnon
and Members of the Common Council
41 City Hall Place
Plattsburgh, NY 12901

**RE: TRIANGLE ELECTRICAL SYSTEMS, INC.
CONTRACT #2012-12
ELECTRICAL SERVICES FOR WATER POLLUTION CONTROL PLANT/CITY**

Dear Mayor and Councilors:

The above referenced contract expires on June 27, 2014. The contract includes an option for extending the period of service for an additional year. Triangle Electrical Systems Inc. has requested that the option be exercised. It is respectfully requested that authorization be given to extend the period of service to June 27, 2015.

For 2013, \$127,191 was spent, and for 2014, \$126,000 has been budgeted. Attached is a copy of the letter from Triangle Electrical Systems Inc., requesting the present contract be extended for an additional one year period. It is also requested that the Mayor be authorized to execute the necessary contract agreement for this work to commence.

Thank you.

Very truly yours,

David M. Powell
Chief Plant Operator

DMP:bl

cc: Jon Ruff
Files (2)

DP
5/7/14

TRIANGLE

ELECTRICAL SYSTEMS, INC.

126 IDAHO AVENUE
PLATTSBURGH, NY 12903
PHONE (518) 562-5425

May 7, 2014

Mr. Dave Powell
Waste Water Treatment Plant
53 Green Street
Plattsburgh, NY 12901

Re: Renewal of Contract #2012-12
Electrical Services for WPCP

Dear Dave:

I am writing today to notify you of Triangle Electrical Systems, Inc. intent to extend the above referenced contract for another year. The current contract expires on June 27th 2014.

We would like to renew the contract at the below rates:

Straight Time (WPCP)	=	\$73.00/hour
Overtime	=	\$98.00/hour
Sunday's & Holidays	=	\$123.00/hour
Straight Time (other City Depts)	=	\$77.00/hour

The above rates if acceptable will be effective on June 28th, 2014.

Thank you for the opportunity to assist you over the past year and we look forward to continuing our relationship. If you have any questions please give me a call.

Sincerely,

Linda Brienza

Linda Brienza



Plattsburgh, New York

Kevin R. Farrington, P.E.
City Engineer

Engineering & Planning Dept.
41 City Hall Place
Plattsburgh, New York 12901
518-563-7730
Fax: 518-563-3645

May 12, 2014

Mayor James Calnon
And
Members of the Common Council
41 City Hall Place
Plattsburgh, NY 12901

**REF: Request for Permission to Advertise for bids for
"2014 City Street Paving;"
Contract #2014-23**

Dear Mayor Calnon & Councilors:

It is requested that permission be granted to advertise for bids for Contract #2014-23, "2014 City Street Paving."

Very truly yours,



Kevin R. Farrington, P. E.
City Engineer

/dn

CC: City Clerk
City Chamberlain
Dave Lessor
Dave Brown
Mike Brodi, DPW



Plattsburgh, New York

Kevin R. Farrington, P.E.
City Engineer

Engineering & Planning Dept.
41 City Hall Place
Plattsburgh, New York 12901
518-563-7730
Fax: 518-563-3645

May 12, 2014

Mayor James Calnon
And
Members of the Common Council
41 City Hall Place
Plattsburgh, NY 12901

**REF: Request for Permission to Advertise for bids for
"2014 Sidewalk Replacement;"
Contract #2014-22**

Dear Mayor Calnon & Councilors:

It is requested that permission be granted to advertise for bids for Contract #2014-22, "2014 Sidewalk Replacement."

Very truly yours,



Kevin R. Farrington, P. E.
City Engineer

/dn

CC: City Clerk
City Chamberlain
Dave Lessor
Dave Brown
Mike Brodi, DPW



Plattsburgh, New York

Kevin R. Farrington, P.E.
City Engineer

Engineering & Planning Dept.
41 City Hall Place
Plattsburgh, New York 12901
518-563-7730
Fax: 518-563-3645

May 12, 2014

Mayor James Calnon
And
Members of the Common Council
41 City Hall Place
Plattsburgh, NY 12901

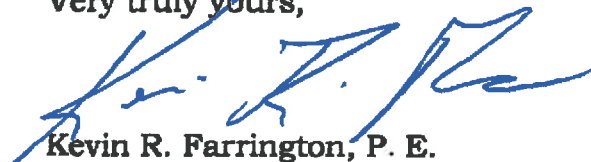
**Ref: Request for Permission for Mayor
To Sign Architectural & Engineering
Agreement with AES Northeast, PLLC,
Contract #2014-24**

Dear Mayor & Councilors:

It is requested that the Common Council authorize the Mayor to sign a Professional Service Agreement with **AES Northeast, PLLC, 10-12 City Hall Place, Plattsburgh, NY 12901** for design and construction support services associated with the mold removal from the City Marina Building on Dock Street. The cost associated with this service is **\$30,740.00** and will not be increased without prior Common Council approval.

Sufficient funding is available in capital project H5110.42 "2013 Bridge & Waterfront Project."

Very truly yours,



Kevin R. Farrington, P. E.
City Engineer

/dn

Attachment

CC: City Chamberlain
City Clerk



May 8, 2014

Mr. Kevin Farrington, City Engineer
City of Plattsburgh
41 City Hall Place
Plattsburgh, NY 12901

RE: Proposal for Professional Architectural & Engineering Services
City Marina Building Rehabilitation, Dock Street
AES No. P2014076

Dear Mr. Farrington,

Thank you again for contacting AES Northeast for professional architectural & engineering services for this project. As requested, the following presents our proposal for professional design and construction services, as well as mold remediation services.

BASIC SCOPE OF PROJECT:

We propose to provide the services outlined below based on the following design parameters and basic scope:

The City of Plattsburgh proposes to conduct a limited rehabilitation of the existing 2,400 sq. ft. one story wood-framed structure as follows:

- Remediate mold and mildew from entire building (caused by flooding in 2011).
- Rehabilitate (2) toilet rooms: clean and remediate mold and mildew, replace water damaged drywall, flooring, etc.
- Construct (1) new unisex accessible toilet room including plumbing/wastewater work, finishes, fixtures, accessories.
- Rehabilitate (limited) all other rooms: clean and remediate mold and mildew; replace damaged drywall and ceilings.
- NO other renovations, alterations, etc. are planned. All other existing rooms, finishes, fixtures, electrical, HVAC, plumbing, etc. will remain as is.
- No building exterior/site/landscaping work is planned.

STANDARD SCOPE OF SERVICES SUMMARY:

AES Northeast's professional services for traditional building projects are based on the American Institute of Architects (AIA) standard scope of services outlined in their standard agreements, which encompasses all the basic phases of a capital construction project, as follows. Our services include all disciplines required for the project including architectural design/engineering/drawings/specifications, and plumbing, HVAC and electrical design/engineering/drawings/specifications.

- A1. Schematic Design and Design Development Phase: The first step usually involves the development of a program to establish the project scope, design parameters, etc. In this phase the preliminary plans of the facility are developed. In addition, all major materials and systems are outlined including the

P:\Proposals\2014\P2014076 Plattsburgh (C) - City Marina Bldg Rehab\Proposal Letter Sample for Full Services.doc

structural components, plumbing, HVAC (heating, ventilating and cooling) and electrical systems. At the completion of this phase a preliminary estimate of the project costs is prepared. All disciplines are provided including architectural design/engineering, and plumbing/HVAC/electrical engineering. This phase typically involves meetings with client to review and modify the design. Federal, State, and Local Zoning, Planning, and Permitting requirements are also reviewed in this phase to determine compliance with them.

*** Schematic Design & Documentation Outline**

- Design Process and Disciplines Coordination
- Architectural Design and Documentation
- Mechanical Design and Documentation
- Electrical Design and Documentation
- Interior Design and Documentation
- Materials Research and Specifications
- Estimating Probable Construction Costs
- Design Meetings and Presentations with Client

*** Design Development & Documentation Outline**

- Design Development – Design and Administration
 - Design Process and Disciplines Coordination
 - Architectural Design and Documentation
 - Mechanical Design and Documentation
 - Electrical Design and Documentation
 - Interior Design and Documentation
 - Materials Research and Specifications
 - Project Development Scheduling
 - Estimating Probable Construction Costs
 - Design Meetings and Presentations with Client
- Design Development – Content of Drawings
 - General Information Sheets
 - Floor Plans and Ceiling Plans
 - Interior Elevations
 - Wall Sections
 - Schedules: Finish and Door
 - Construction Details
 - Mechanical, Electrical, and Plumbing Schematics

A2. Mold and Moisture Assessment: Atlantic Testing Labs (ATL) will investigate the extent of the water damage, and resulting mold and moisture damage to determine the extent of remediation work that needs to be conducted to make the building habitable/occupiable (see attached Exhibit A for full description of services).

B1. Construction Documents Phase: During this phase, completely detailed drawings are prepared as needed to convey the project scope for competitive bidding. This also includes all details of the building renovation and schematic drawings of all plumbing, HVAC and electrical systems. (If

applicable, these disciplines are separated in accordance with NYS requirements for public works projects). In addition, detailed specifications (Project Manual) are drafted to accompany the construction drawings. All the major materials, systems, and products are specified. Bidding requirements are also drafted to incorporate in the Project Manual. Lastly, the estimate of the project costs is updated.

*** Construction Documents Outline**

- Construction Drawings – Production Management
 - Disciplines Coordination and Document Checking
 - Architectural Design and Documentation
 - Mechanical Design and Documentation
 - Electrical Design and Documentation
 - Interior Design and Documentation
 - Project Development Scheduling
 - Estimating Probable Construction Costs
 - Design Meetings and Presentations with Client
- Construction Drawings – Content of Drawings
 - General Information Sheets
 - Floor Plans and Ceiling Plans
 - Enlarged Toilet Room Plans and Elevations
 - Schedules: Finish, Door
 - Construction Details
 - Mechanical, Electrical, Plumbing Schematics, and Equipment Schedules
- Technical Specifications, Bidding Requirements, General Conditions, and Construction Contracts

B2. Mold Remediation Plan: Atlantic Testing Labs (ATL) will prepare a mold remediation plan including drawings and specifications (see attached Exhibit A for full description of services).

C1. Bidding and Construction Phase: During this phase of the project the architect/engineer will administer the bidding process and construction phase to assure the owner the completion of the project in accordance with the construction documents. This is the "quality assurance" phase of the project.

- Notice to bidders, response to RFI's, prepare addendums, conduct pre-bid meeting (if applicable), conduct bid opening, review bids, and recommend award.
- Prepare and administer construction contracts between the Owner and Contractors (municipal law requires (4) prime contractors on public works projects over \$500,000, if applicable).
- Conduct and administer preconstruction meeting and periodic construction meetings; prepare and distribute meeting notes.
- Review technical "submittals" (product information and shop drawings) by contractors to assure compliance with the project specifications.

- Conduct periodic visits to the construction site to observe the work and verify compliance with the project specifications; check the quality of the work and reject work that is not in compliance.
 - Review and certify to the Owner, the contractor's applications for payment, based upon work completed.
 - Provide all (telephone and email) technical support to the Owner and Contractors to resolve issues and progress the work.
 - Conduct final reviews of the work and make a "Punchlist" (list of incomplete work items); publish, and distribute list to Owner and Contractors to facilitate "closure" and completion of the project.
 - Administer contractor's submission of records plans, payment affidavits, release of liens, warranties, and other documentation to assure proper "closeout" of the project.
- C2. Mold Remediation Project Monitoring and Post Remediation Assessment: Atlantic Testing Labs (ATL) will conduct observations of the cleanup work to verify all known mildew damaged building materials have been abated, sanitized, removed or replaced. In addition, ATL will conduct air and surface testing to verify the mold has been removed (see attached Exhibit A for full description of services).
- D. General Exclusions: Hidden and concealed mold, mildew and water damaged building materials that are not readily visible, are EXCLUDED from this proposal. The discovery of hidden and concealed mold, mildew and water damaged building materials is also EXCLUDED. ATL will make some reasonable investigations to determine the extent of mold and moisture.

The following services can be provided as needed as a reimbursable service:

- Hazardous materials surveys, abatement design, and air/project monitoring services (lead paint, asbestos, etc.)
- Geotechnical (subsoil) investigations for foundation design, etc.
- SWPPP: Stormwater Pollution Prevention Plan design and details.
- Permitting: Local, State, and Federal Agency applications, submissions, documents, meetings, etc.(i.e. APA, DEC, DOH, USDA-RD, OMRDD, OMH, SUCF, SEDFP, SEQRA, Counties, Cities, Towns, Villages, etc.)
- Topographic and boundary surveys of property/building site
- Archeological/Cultural Resources Surveys and other special studies required by governing agencies
- LEED consultation, development and certification
- Resident Project Representative Services (a.k.a. 'Clerk-of-the-Works')
- Civil/site engineering drawings
- Structural engineering/drawings
- Landscape design/drawings

FEE PROPOSAL:

We are pleased to submit an estimated fee proposal in the amount of \$30,740.00* (Thirty Thousand Seven Hundred Forty Dollars) for the services described above plus standard reimbursables (prints, copies, mileage). The mold remediation services is an estimated fee based on estimated samples and limited monitoring days (2 maximum). This portion of the fee could vary, but we believe it is adequate based on limited knowledge of the building condition.

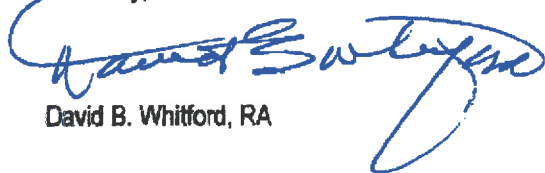
The following presents an outline of these services:

Pre-Design and Planning Services	\$ 1,527.00
Mold and Moisture Assessment	\$ 2,825.00*
Schematic Design/Design Development	\$ 5,010.00
Construction Documents (Final Plans & Specs)	\$ 7,515.00
Mold Remediation Plan	\$ 1,948.00*
Bidding Services	\$ 1,497.00
Construction Phase Support Services	\$ 7,112.00
Mold Project Monitoring and Post Remediation Assessment	<u>\$ 3,306.00*</u>
Total	\$30,740.00*

*All fees are estimated for mold remediation services and dependent upon the final number of sampling, monitoring days, etc. The project monitoring is limited to 2 days. The City will be invoiced for mold remediation services rendered and these amounts could vary depending on actual conditions. However, we believe the estimated fees are adequate, based on limited knowledge of the building conditions.

Thank you again for the opportunity to present a proposal for professional architectural/engineering services and we hope to work on this project with the City of Plattsburgh. Please call if there are any questions or comments.

Sincerely,



David B. Whitford, RA

EXHIBIT A
SCOPE OF SERVICES

**City Marina Building
Plattsburgh, Clinton County, New York**

Based on the information provided to ATL by AES Northeast, it is our understanding that the project consists of providing mold consulting services for a marina building in Plattsburgh, Clinton County, New York. The scope of services contained herein include items for mold and moisture assessment, mold remediation design and consultation, mold remediation project monitoring, and post-remediation assessment. Fee schedules contained in EXHIBIT B are similarly categorized. CLIENT will be responsible for selecting, requesting, authorizing, and scheduling services. CLIENT agrees that ATL is not responsible for performing services that are neither requested nor authorized by CLIENT. Access to the subject property will be required throughout the duration of the PROJECT.

A. ATL could provide the following field services:

Mold and Moisture Assessment

1. Provide an **Environmental Scientist or Engineer** and an **Environmental Technician** to perform a site reconnaissance, to include the following:
 - ♦ If pertinent, interview designated representatives to ascertain a historical review of episodic and persistent water infiltration and/or damage
 - ♦ Visually observe accessible building components, utilizing nondestructive techniques, for gross visual surface mold
 - ♦ Identify potential sources of moisture contamination and/or water infiltration, to the extent possible with existing finishes and equipment available
 - ♦ Identify building materials that have potential to contain mold-propagating nutrient sources, and which characteristically accommodate mold growth. Analyze a representative quantity of sheetrock and/or wood materials (if present) for moisture content, utilizing a moisture meter.
 - ♦ Assess representative surfaces/building components using an infrared camera, to evaluate temperature gradients and identify location of potential water/moisture impact.

2. Collect bulk/surface and air samples for laboratory analysis. The samples will be submitted to an American Industrial Hygiene Association (AIHA) accredited laboratory for analysis.

For suspected visual mold growth, confirmatory surface swab, tape, and/or bulk samples may be collected for laboratory analysis. For suspected olfactive mold growth, confirmatory air samples may be collected from the interior of the building at selected locations. The air sampling event will include background samples that will be collected outside the structure. If severe weather conditions (e.g., high wind velocity, rain, snow) impede background sample collection, then distant non-complaint/non-impacted areas within the building may be substituted.

3. Provide laboratory analysis for collected samples. Unless otherwise requested, laboratory analysis will be performed on a 1-week turn-around time (TAT) basis, subsequent to receipt of the samples by the laboratory.
4. Prepare a mold and moisture assessment report to include the following:

- ◆ Summary of the field observations, sampling activities and methodology
- ◆ Summary of recorded field measurements
- ◆ Photographic documentation of the infrared camera assessment results
- ◆ Tabular summary of laboratory data
- ◆ A site sketch (not-to-scale), depicting the sample locations and, if applicable, the location of impacted areas. Existing floor plans, to be provided by the CLIENT, will be used as the basis for the sample location plans.
- ◆ Copies of laboratory reports and associated sample custody documentation
- ◆ A discussion of findings relevant to the mold and moisture assessment conducted

Mold Remediation Design and Consultation

1. Provide an **Environmental Scientist/Engineer** to prepare mold remediation design documentation for identified mold-impacted areas.
2. Provide a **CAD Technician** to prepare drawings with applicable notes and details for the mold remediation. Existing floor plans, to be provided by the CLIENT, will be used as the basis for the mold remediation drawings.
3. Prepare and submit a Draft Submittal Package of the mold remediation design. It is anticipated that one set of comments will be made on the Draft Submittal Package. ATL will incorporate comments, as warranted, on the Draft Submittal Package and prepare a Final Design Package, which will include drawings and specifications. Addenda to the specifications will be provided if additional information is required for clarification or to address modifications in the scope of work.
4. If requested, provide consultation during development of Request for Proposal (RFP) documentation to be submitted to mold remediation contractors.
5. If requested, perform an on-site walk-through with mold remediation contractors interested in performing mold remediation activities at the referenced facility.
6. If requested, review pertinent and applicable submittals from the selected mold remediation contractor. It is anticipated that pertinent and applicable submittals may include contractor work experience and certifications, mold remediation work plan, and Material Safety Data Sheets (MSDS) for chemicals or products to be used during mold remediation.

Mold Project Monitoring

1. Provide an **Environmental Technician** to monitor the project phasing and performance of the mold abatement contractor, as these pertain to mold remediation.

All decontamination facilities and personal protective equipment, with the exception of respiratory protection, will be provided by the mold abatement contractor.

2. Prepare a mold project monitoring report to include the following:
 - ◆ Chronological summary of the sampling activities and methodology
 - ◆ Daily field logs
 - ◆ Photograph log

If a post-remediation assessment is also requested and performed, the mold project monitoring report will be combined with the post-remediation assessment report.

Post-Remediation Assessment

1. Provide an **Environmental Scientist or Engineer** to conduct a visual assessment upon satisfactory completion of work by the mold abatement contractor, and collect samples.

Post-Remediation Assessment

1. Provide an **Environmental Scientist or Engineer** to conduct a visual assessment upon satisfactory completion of work by the mold abatement contractor, and collect samples. Post-remediation samples will include air samples collected from similar locations as pre-remediation air samples, and may also include surface samples from selected locations.

All decontamination facilities and personal protective equipment, with the exception of respiratory protection, will be provided by the mold abatement contractor.

2. Submit samples to an AIHA accredited laboratory for analysis. Unless otherwise requested, laboratory analysis will be performed on a 1-week TAT basis, subsequent to receipt of the samples by the laboratory.
3. Prepare a post-remediation assessment report to include the following:
 - ◆ Summary of the field observations, sampling activities and methodology
 - ◆ Photograph log
 - ◆ Tabular summary of laboratory data
 - ◆ A site sketch (not-to-scale), depicting the sample locations. Existing floor plans, to be provided by the CLIENT, will be used as the basis for the sample location plans.
 - ◆ Copies of laboratory reports and associated sample custody documentation
 - ◆ A discussion of findings

If mold project monitoring is also requested and performed, the post-remediation assessment report will be combined with the mold project monitoring report.

B. CLIENT will be responsible for the following:

1. Provide floor plan drawings for the subject building, and applicable information pertaining to known or anticipated historical causes or sources of persistent water infiltration and/or damage.
2. Provide an electrical source for the use of necessary equipment.
3. Provide safe access to the project site and the sampling locations.
4. Restoration and building finishes in the mold remediation areas and/or intrusive sample locations.

NOTES TO THE SCOPE OF SERVICES

We require a minimum of five days advance notice prior to project initiation and two days advance notice for subsequent scheduling of field services. Cancellation of scheduled services must be received prior to personnel departure for the PROJECT site, or a minimum charge in accordance with the Fee Schedule will be applicable.



Plattsburgh, New York

Kevin R. Farrington, P.E.
City Engineer

Engineering & Planning Dept.
41 City Hall Place
Plattsburgh, New York 12901
518-563-7730
Fax: 518-563-3645

May 16, 2014

Mayor James Calnon
And
Members of the Common Council
41 City Hall Place
Plattsburgh, N.Y. 12901

**REF: Request for Permission for
Mayor to Sign Change Order
No. 1 and 2 to Contract #2014-03
"Painting the Pipeline Suspension
Bridge Over the Saranac River"**

Dear Mayor and Councilors:

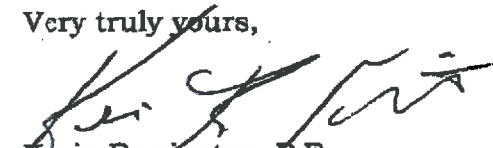
It is requested that the Mayor be authorized to sign Change Order No. 1 and 2 to Contract #2014-03, "Painting the Pipeline Suspension Bridge Over the Saranac River."

Original Contract Amount:	\$ 235,900.00
Change Order #1:	7,350.00
Change Order #2:	19,500.00
Total:	<u>\$ 262,750.00</u>

These Change Orders are for labor and equipment to replace the walkway boards and to pressure wash, spot hand tool clean and overcoat the 20" pipeline.

Capital project **H8130.59 "2014 Sanitary Sewer & Pumping Station"** is being increased to cover the additional cost associated with these change orders.

Very truly yours,



Kevin Farrington, P.E.
City Engineer

/dn

Att.(1)

CC: City Clerk
City Chamberlain
Dave Lessor
Dave Brown
Jon Ruff

C H A N G E O R D E R

PROJECT TITLE: Painting the Pipeline Suspension Bridge Over the Saranac River

CONTRACT NUMBER 2014-03 **CHANGE ORDER NUMBERS:** 1 & 2 **DATE:** May 16, 2014

TO (contractor):

Abhe & Svoboda Inc.
18100 Dairy Lane
Jordan, MN 55352

You are hereby directed to make the following changes to this Contract:

ITEM NO.	DESCRIPTION	CHANGE IN PRICE
1.	Labor and equipment to replace the walkway boards:\$	7,350.00
2.	Pressure wash, spot hand tool clean and Overcoat the 20" pipeline:	
	Spot Coat: Corothane Preprime	
	Spot Coat: Corothane I Aluminum	
	Full Coat: Corothane I Ironox B	
	Full Coat: Corothane I HS:	\$ 19,500.00
TOTAL:		\$ 26,850.00

The original Contract Sum was.....\$.....235,900.00

Net change by previous change orders:.....\$.. 0.00

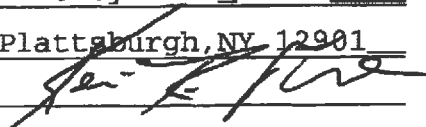
The Contract Sum prior to this Change Order was.....\$.....235,900.00

The Contract Sum will be
(increased) (decreased) (unchanged) by.....\$.... 26,850.00

The new Contract Sum including this Ch.Order\$.....262,750.00

The Contract Time will be
(increased) (decreased) (unchanged) by..... 7 calendar days

The Date of Completion as of the date of this CO is: July 1, 2014

RECOMMENDED BY:
Engineering & Plan.Dept
41 City Hall Place
Plattsburgh, NY 12901

(signature)

ACCEPTED BY:
Abhe & Svoboda Inc.
18100 Dairy Lane
Jordan, MN 55352

(signature)

APPROVED BY:
City of Plattsburgh
41 City Hall Place
Plattsburgh, NY 12901

(signature)

Kevin Farrington, C.E.
5/15/14
(date)

(date)

James Calnon, Mayor

(date)



Plattsburgh, New York

Richard A. Marks
City Chamberlain

Department of Finance
6 Miller Street
Plattsburgh, NY 12901
518-563-7704 TEL
518-563-1714 FAX

At a regular meeting of the Common Council of the City of Plattsburgh, New York, held May 22nd, 2014, the following resolution was adopted:

By Councilor _____ ; Seconded by Councilor _____

WHEREAS, the following resolution was adopted on May 22nd, 2014, and

WHEREAS, the Capital Expenditure Plan adopted January 12th, 2012, includes a Bridge & Waterfront category, a Recreation Complex Facilities category and a Recreation Department Facilities category each containing items related to repair of flood damage as detailed below, and

WHEREAS, the Engineering and Planning Department is establishing a May 2011 Flood Damage capital project for the items separately included in the Capital Expenditure Plan in the above named categories as it was adopted on January 12th, 2012, and

WHEREAS, the cost of the May 2011 Flood Damage project has been estimated by the Engineering and Planning Department and is being revised to provide for the project during 2014, as follows:

	2012 Capital Plan	2012 Estimated Cost	2014 Revised Cost
Saranac River pedestrian suspension bridge	\$ 60,000	\$ 60,000	\$ -
Sailor's Beach pedestrian bridge over RR tracks	20,000	20,000	20,000
Sailor's Beach flood damage repairs	15,000	14,983	14,983
Old Base Marina flood damage investigation/design	21,400	24,489	24,489
Old Base Marina flood damage repairs	800,000	800,000	800,000
City Beach/Crete Civic Center flood damage repairs	142,000	152,126	152,126
Healthy Lung Fitness Trail (Wilcox Dock) flood damage	38,464	38,464	38,464
Green Street – Saranac River storm drainage pipe	-	2,819	2,819
Macdonough Park masonry retaining wall	-	2,394	2,394
Champlain Park / Cumberland Avenue seawall	-	2,345	2,345
Oklahoma Avenue Old Base Marina building	-	7,089	7,089
Oklahoma Avenue engineering road/shoulder study	-	24,489	24,489
Total	<u>\$ 1,096,864</u>	<u>\$ 1,149,198</u>	<u>\$ 1,089,198</u>
Project Funding:			
General Fund Advance	\$ 880,000	\$ 880,000	\$ 800,000
FEMA Public Assistance	216,864	269,198	210,146
General Fund serial bonds	-	-	79,052
Total	<u>\$ 1,096,864</u>	<u>\$ 1,149,198</u>	<u>\$ 1,089,198</u>

Now therefore,

BE IT RESOLVED, by the Common Council of the City of Plattsburgh, New York, this 22nd day of May, 2014, as follows:

- 1) That, the amount of One Million Eighty-nine Thousand One Hundred Ninety-eight and 00/100 (\$1,089,198.00) Dollars be and it is hereby appropriated to the Capital Project **May 2011 Flood Damage (H5110.38)** for the cost of the expenditures as revised above and is hereby authorized to be expended for such purpose.
- 2) That, Eight Hundred Thousand and 00/100 (\$800,000.00) Dollars of such appropriation be provided by advances from the General Fund for required progress expenditures for seeking reimbursement from FEMA Public Assistance large project funding or until such time as a borrowing resolution is adopted by the Common Council authorizing the issuance of debt to pay for the project advances.
- 3) That, Two Hundred Ten Thousand One Hundred Forty-six and 00/100 (\$210,146.00) Dollars of such appropriation be provided by FEMA Public Assistance small project funding submitted flood damage claims.
- 4) That, Seventy-nine Thousand Fifty-two and 00/100 (\$79,052.00) Dollars of such appropriation be provided from General Fund debt issued in the form of BAN's or serial bonds to pay for the cost of the unfunded project costs.
- 5) That, this resolution takes effect immediately.

On Roll Call,

CERTIFIED A TRUE COPY

CITY CLERK



Plattsburgh, New York

Richard A. Marks
City Chamberlain

Department of Finance
6 Miller Street
Plattsburgh, NY 12901
518-563-7704 TEL
518-563-1714 FAX

At a regular meeting of the Common Council of the City of Plattsburgh, New York, held May 22nd, 2014, the following resolution was adopted:

By Councilor _____ ; Seconded by Councilor _____

WHEREAS, the following resolution was adopted on May 22nd, 2014, and

WHEREAS, the Capital Expenditure Plan adopted January 9th, 2014, includes a Sanitary Sewer category, and

WHEREAS, the City Engineer has established a 2014 Sanitary Sewer and Pumping Station project included in the Capital Program adopted January 9th, 2014, and

WHEREAS, the cost of the 2014 Sanitary Sewer and Pumping Station project has been estimated and is being revised by the City Engineer, as follows:

	2014 Capital Plan	2014 Estimated Cost	2014 Revised Cost
South Peru St. sewer repair, 1,400 LF	\$ 280,000	\$ 280,000	\$ 280,000
Suspension Bridge Sanitary Sewer	150,000	176,000	270,000
Margaret Street Pump Station	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>
Total	<u>\$ 930,000</u>	<u>\$ 956,000</u>	<u>\$ 1,050,000</u>

Project Funding:

Sewer Capital Reserve	<u>\$ 930,000</u>	<u>\$ 956,000</u>	<u>\$ 1,050,000</u>
Total	<u>\$ 930,000</u>	<u>\$ 956,000</u>	<u>\$ 1,050,000</u>

Now therefore,

BE IT RESOLVED, by the Common Council of the City of Plattsburgh, New York, this 22nd day of May 2014, as follows:

- 1) That, the amount of One Million Fifty Thousand and 00/100 (\$1,050,000.00) Dollars be and it is hereby appropriated to the Capital Project **2014 Sanitary Sewer and Pumping Station (H8130.59)** for the revised cost of the Capital Project described above is hereby authorized to be expended for such purpose.
- 2) That, One Million Fifty Thousand and 00/100 (\$1,050,000.00) Dollars of such appropriation be provided by the Sewer Capital Reserve fund.
- 3) That, this resolution takes effect immediately.

On Roll Call,

CERTIFIED A TRUE COPY

CITY CLERK



Plattsburgh, New York

Kevin R. Farrington, P.E.
City Engineer

Engineering & Planning Dept.
41 City Hall Place
Plattsburgh, New York 12901
518-563-7730
Fax: 518-563-3645

MEMORANDUM

TO: Richard Marks, City Chamberlain

FROM: Kevin Farrington, City Engineer *KFP*

DATE: May 16, 2014

REF: Request for Amendment to Capital Project

It is requested that Capital Project H8130.59 "2014 Sanitary Sewer and Pumping Station" be increased as follows:

4 SANITARY SEWER

	APPROVED BUDGET	REVISED BUDGET	REVISED BUDGET
South Peru St. sewer repair, 1400 LF	\$ 280,000.00	\$ 280,000.00	\$ 280,000.00
Suspension Bridge Sanitary Sewer	\$ 150,000.00	\$ 176,000.00	\$ 270,000.00
Margaret ST. Pump Station	\$ 500,000.00	\$ 500,000.00	\$ 500,000.00
Total:	\$ 930,000.00	\$ 956,000.00	\$ 1,050,000.00

Funding Summary: \$ 930,000.00 \$ 956,000.00 \$ 1,050,000.00

CC: Jon Ruff
Mike Brodi



James E. Calnon
Mayor

41 City Hall Place
Plattsburgh, New York 12901
T: (518) 563-7701
F: (518) 561-7367
mayor@cityofplattsburgh-ny.gov

To: Common Council

Date: May 21, 2014

Re: Negotiated Salary Level for Sylvia Parrotte

Councilors,

The City Clerk's position is listed on Level 6 on the Management Salary Schedule. I request your permission to assign our new City Clerk to Step 4 on that level.

I anticipate hiring a provisional Assistant Fire Chief in the next few weeks. Assistant Fire Chief is listed on Level 9 of the Management Salary Schedule. Both candidates for the office have progressed across the steps of the union contract wage scale, and I request your permission to hire the Assistant Chief at the maximum step for Level 9.



Plattsburgh Police Department
45 Pine Street
Plattsburgh, New York

518-563-3411
518-566-9000 (FAX)

DESMOND J. RACICOT
Chief of Police

May 12, 2014

Mayor James E. Calnon
And members of the Common Council
41 City Hall Place
Plattsburgh, NY 12901

Ladies and Gentlemen:

I respectfully request your approval for two police officers to travel to Lake Placid, NY from 7/13/14 to 7/17/14, for "2014 Annual New York State Chiefs of Police Training Conference.." The cost should not exceed \$2,069.50, and will be expensed to the Asset Seizure Fund, training expenses. Your consideration in this matter is very much appreciated. If you should have any questions or require additional information, please feel free to contact me.

Respectfully,

Chief Desmond J. Racicot
Plattsburgh Police Department

DJR/hls

**RESOLUTION OF Plattsburgh Common Council CALLING ON THE
GOVERNOR, THE NEW YORK STATE ASSEMBLY, AND THE NEW
YORK STATE SENATE TO ENACT THE “ABANDONED PROPERTY
NEIGHBORHOOD RELIEF ACT OF 2014”**

WHEREAS, in the wake of the financial crisis of 2008, there has been a marked increase in New York State in the incidence of vacant and abandoned residential properties securing delinquent mortgages, which properties frequently fall into disrepair, thus devaluing neighboring properties and harming the larger community; and

WHEREAS, these vacant and abandoned residential properties have become a blight in the City of Plattsburgh and in many similarly situated neighborhoods across New York State because the properties are often boarded up, dilapidated, unsafe, inhabited by squatters or used for criminal purposes; and

WHEREAS, an accumulation in a community of vacant and abandoned residential properties that are not properly secured or maintained for extended periods can cause a marked decline in that community’s real estate market and the state’s property tax base; and

WHEREAS, there are documented instances of such properties being used by criminals to manufacture and/or distribute illegal drugs, thus leading to an increased likelihood of crime in and around the property and neighboring community; and

WHEREAS, the City of Plattsburgh and similarly situated municipalities across New York State are often forced to expend taxpayer funds to prevent vacant and abandoned residential properties from becoming a public hazard, thereby depleting limited local resources; and

WHEREAS, the City of Plattsburgh and similarly situated municipalities across New York State are often forced to expend taxpayer funds to investigate and determine the ownership, occupancy and foreclosure status of vacant and abandoned residential properties in order to ensure that, where applicable, the mortgagee is complying with current obligations under Federal, State and/or local law to secure and maintain the property, thereby further depleting limited local resources; and

WHEREAS, relevant provisions of existing State law, enacted in 2009, governing the maintenance of abandoned residential properties impose a duty on plaintiff-mortgagees to maintain vacant residential properties only *after* a judgment of foreclosure and sale has been entered by a court; and

WHEREAS, this recent State law has in many instances proven inadequate to address the growing number of vacant and abandoned properties falling into disrepair in the City of Plattsburgh and in many similarly situated municipalities across New York State because many such properties are not subject to a pending foreclosure action, and many that are subject to a pending foreclosure have not proceeded, and will not in the foreseeable future proceed, to a court judgment of foreclosure and sale; and

WHEREAS, there is evidence showing that many current and former New York homeowners have been misled into believing they need to leave their homes earlier in the foreclosure process than the law actually requires, thus resulting in even more vacant and abandoned residential properties throughout our communities; and

WHEREAS, the “Abandoned Property Neighborhood Relief Act of 2014” would help the City of Plattsburgh and similarly situated municipalities and their residents across the State better address the growing problem of vacant and abandoned residential properties by creating a

statewide registry of such properties that can be electronically accessed by such municipalities;
and

WHEREAS, the “Abandoned Property Neighborhood Relief Act of 2014” would help the City of Plattsburgh and similarly situated municipalities and their residents across the State better address the growing problem of vacant and abandoned residential properties by imposing a duty on mortgagees and their loan servicing agents to promptly report these properties to the statewide registry and take earlier, *pre*-foreclosure, action to identify, secure and maintain such vacant and abandoned properties; and

WHEREAS, the “Abandoned Property Neighborhood Relief Act of 2014” would help the City of Plattsburgh and similarly situated municipalities and their residents across the State better address the growing problem of vacant and abandoned residential properties by providing a much needed and readily available source of information on vacant and abandoned residential properties to local officials throughout the State; and

WHEREAS, the “Abandoned Property Neighborhood Relief Act of 2014” would help the City of Plattsburgh and similarly situated municipalities and their residents across the State better address the growing problem of vacant and abandoned residential properties by also establishing a statewide toll-free hotline that community residents can use to report suspected vacant and abandoned properties to the Attorney General and receive information regarding the status of registered properties, including the identity of the mortgagee or agent responsible for maintaining them; and

WHEREAS, the “Abandoned Property Neighborhood Relief Act of 2014” would help the City of Plattsburgh and similarly situated municipalities and their residents across the State better address the growing problem of vacant and abandoned residential properties by ensuring

that homeowners are provided with clear and early notice that they are legally entitled to remain in their homes until ordered to leave by a court; NOW THEREFORE,

BE IT RESOLVED, that the City of Plattsburgh Common Council by this Resolution hereby calls on the Governor, the New York State Assembly and the New York State Senate to promptly enact the “Abandoned Property Neighborhood Relief Act of 2014;” and

BE IT FURTHER RESOLVED, that **whomever?** is hereby directed to transmit copies of this Resolution to Governor Andrew Cuomo, Assemblywoman Janet Duprey, and State Senator Betty Little.

EXTRACT OF MINUTES

Meeting of the Common Council of
the City of Plattsburgh, New York

May 22, 2014

A regular meeting of the Common Council of the City of Plattsburgh, New York, was held at City Hall, 41 City Hall Place, Plattsburgh, New York, on May 22, 2014 at ___ o'clock ___.M. (Prevailing Time).

There were present:

There were absent:

Also present:

*

*

*

_____ offered the following resolution and moved its adoption:

BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING STREET RESURFACING AND IMPROVEMENTS (PROJECT H5110.44), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,195,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$746,000 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$746,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE.

BE IT RESOLVED by the Common Council (the "Common Council") of the City of Plattsburgh, New York (the "Issuer" or "City") (by the favorable vote of not less than two-thirds of all the members of said Common Council), as follows:

Section 1. Based upon the review of this action by the Issuer, the Common Council hereby determines that it is a "Type II Action" under the State Environmental Quality Review Act (Article 8 of the Environmental Conservation Law) and therefore no further environmental review is required.

Section 2. The City is hereby authorized to finance various street resurfacing and improvement projects, including New Street (South Catherine to White Street), Pinewood (Trafalgar to Broadway), South Peru Street (Crete Blvd. to Sharron Avenue), Tyrell Avenue, West Court Street, Sidewalks, Miscellaneous Paving, Street Surveys, Street Borings and North Margaret Street Feasibility Study, pursuant to specifications on file with the City Clerk under Project H5110.44 (the "Project").

The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto, is \$1,195,000 and said amount is hereby appropriated therefor. The plan of financing includes (a) the issuance of up to \$746,000 serial bonds and/or bond anticipation notes of the City to finance a portion of said appropriation and the levy and collection of taxes on all the taxable real property in the City to pay the principal of said bonds and/or bond anticipation notes and the interest thereon as the same shall become due and payable, (b) the use of \$444,000 NYS CHIPS funds to pay a portion of the cost of the Project and (c) the use of a \$5,000 grant from Georgia-Pacific Corporation to pay a portion of the cost of the Project. No amount

has previously been authorized by the Issuer to be applied to the payment of such specific object or purpose. The City may submit applications for additional grants and/or low interest loans from the New York State Environmental Facilities Corporation (EFC) and/or other funding sources and, to the extent that any such moneys are received, may apply such funds to the payment of principal and interest on the bonds or bond anticipation notes. Pursuant to Local Finance Law Section 107.00(d)(9), a down payment from current funds is not required.

Section 3. The Common Council anticipates that the City may pay certain capital expenditures in connection with the appropriation prior to the receipt of the proceeds of the bonds and/or bond anticipation notes. The Common Council hereby declares its official intent to use bond proceeds to reimburse the City for such expenditures. This section of the Resolution is adopted solely for the purpose of establishing compliance with the requirements of Section 1.150-2 of the Treasury Regulations and does not bind the City to make any expenditure, incur any indebtedness or proceed with the acquisition, construction and installation of the Project.

Section 4. For the purpose of financing a portion of the Project, there are hereby authorized to be issued serial bonds of the City up to a maximum amount of \$746,000 the maximum maturity of which shall not exceed the fifteen (15) year period of probable usefulness set forth below, and which shall mature on or before such date as measured from the date of the bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. The bonds may be issued in the form of a statutory installment bond.

Section 5. There are hereby authorized to be issued bond anticipation notes for the specific object or purpose in an amount up to but not exceeding the \$746,000 maximum amount of serial bonds authorized to be issued, in anticipation of the issuance and sale of the serial bonds authorized, including renewals of such bond anticipation notes.

Section 6. There are no bond anticipation notes outstanding which have been previously issued in anticipation of the sale of these bonds. Neither are the bond anticipation notes hereby authorized renewal notes. These bond anticipation notes will not be issued in anticipation of bonds for an assessable improvement. These notes shall mature at such time as the Common Council may determine and may be renewed from time to time, provided that in no event shall such notes or renewals extend more than one (1) year beyond the original date of issue except as permitted in the Local Finance Law.

Section 7. The period of probable usefulness of said specific object or purpose for which said \$746,000 serial bonds and/or bond anticipation notes authorized pursuant to this resolution are to be issued, within the limitations of Section 11.00 a. sub-section 20 of the Law, is 15 years.

Section 8. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds, shall be general obligations of the Issuer, payable as to both principal and interest by general tax upon all the taxable real property within the Issuer, subject to statutory limitations. The faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the Issuer by appropriation for (a) the amortization and redemption of the bonds and any notes issued in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 9. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00, Section 30.00, Section 50.00, Sections 56.00 to 60.00, inclusive, and Section 63.00 of the Law, the powers and duties of the Common Council relative to authorizing bond anticipation notes and the renewals thereof, determining whether to issue bonds with substantially level or declining annual debt service, prescribing the terms, form and contents of the bonds herein authorized,

and any other powers or duties pertaining to or incidental to the sale and issuance of the bonds herein authorized, bond anticipation notes issued in anticipation of said bonds and the renewals thereof, are hereby delegated to the City Chamberlain, the Chief Fiscal Officer of the Issuer. The City Chamberlain, as Chief Fiscal Officer of the City, is authorized to execute and deliver any documents and to take such other action as may be necessary and proper to carry out the intent of the provisions of this resolution.

Section 10. The exact date of issuance of the bonds and/or notes and the exact date upon which they shall become due and payable shall be fixed and determined by the Chief Fiscal Officer, provided, however, that the maturity of the notes or renewals shall not exceed one (1) year from the date of issue except as permitted by the Local Finance Law.

Section 11. If issued, the bonds and/or notes shall be in registered form, and shall bear interest at the determined rate.

Section 12. The Chief Fiscal Officer shall deliver the bonds and/or notes to the purchaser only against a certified check or other immediately available funds. The proceeds of the sale of the bonds and/or notes shall be deposited and/or invested as required by Section 165.00 of the Local Finance Law, and the power to invest the proceeds of sale is hereby delegated to the Chief Fiscal Officer and the power to invest in any instruments described in Section 165.00 is expressly granted.

Section 13. To the extent that it is permitted to do so under the Internal Revenue Code of 1986, as amended (the "Code"), the Common Council hereby designates the bonds and/or notes as "qualified tax-exempt obligations" under Section 265(b)(3) of the Code. The Common Council hereby covenants that, to the extent permitted under the Code in effect as of the date of issuance of any bonds and/or notes, it will (i) take all actions on its part necessary to cause interest on the bonds and/or notes to be excluded from gross income for purposes of Federal income taxes and (ii) refrain from taking any action which would cause interest on the bonds and/or notes to be included in gross income for purposes of Federal income taxes.

Section 14. Miller, Mannix, Schachner & Hafner, LLC, Glens Falls, New York, is the City's bond counsel.

Section 15. The validity of the bonds authorized by this resolution and of any notes issued in anticipation of the sale of said bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the Issuer is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this resolution are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the constitution of the State of New York.

Section 16. The City Clerk is hereby authorized and directed to publish this resolution or a summary thereof, together with a Notice attached in substantially the form prescribed in Section 81.00 of the Law, in the official newspaper of the Issuer.

Section 17. This resolution shall take effect immediately after its adoption.

The adoption of the foregoing resolution was seconded by _____ and duly put to a vote on roll call, which resulted as follows:

AYES: _____

NOES: _____

The resolution was declared adopted.

* * * * *

STATE OF NEW YORK)
) ss:
CITY OF PLATTSBURGH)

I, SYLVIA PARROTTE, the undersigned City Clerk of the City of Plattsburgh, New York, DO HEREBY CERTIFY:

That I have compared the annexed Extract of the Minutes of the meeting of the Common Council (the "Common Council"), including the resolution contained therein, held on May 22, 2014, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Common Council had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

IN WITNESS WHEREOF, I have hereunto set my hand and affix the seal of the City of Plattsburgh on _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

Estoppel Notice

**ESTOPPEL NOTICE/SUMMARY OF BOND RESOLUTION
AUTHORIZING THE ISSUANCE OF UP TO \$746,000
IN SERIAL BONDS AND/OR BOND ANTICIPATION NOTES
OF THE CITY OF PLATTSBURGH TO PAY A PORTION OF
THE COST OF STREET RESURFACING AND IMPROVEMENTS**

The Bond Resolution which is summarized below was adopted by the City of Plattsburgh Common Council on May 22, 2014. The validity of the obligations authorized by the Bond Resolution may be contested only if (i) the obligations were authorized for an object or purpose for which the City of Plattsburgh is not authorized to expend money or (ii) the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or (iii) such obligations were authorized in violation of the provisions of the State Constitution.

The specific object or purpose for which the obligations authorized by the Bond Resolution are to be issued is various street resurfacing and improvement projects, including New Street (South Catherine to White Street), Pinewood (Trafalgar to Broadway), South Peru Street (Crete Blvd. to Sharron Avenue), Tyrell Avenue, West Court Street, Sidewalks, Miscellaneous Paving, Street Surveys, Street Borings and North Margaret Street Feasibility Study, and further including related preliminary and incidental costs (the "Project"). The maximum estimated cost of the Project is \$1,195,000. The plan of financing the maximum estimated cost includes: (a) the issuance of up to \$746,000 serial bonds and/or bond anticipation notes of the City, (b) the use of \$444,000 NYS CHIPS funds to pay a portion of the cost of the Project and (c) the use of a \$5,000 grant from Georgia-Pacific Corporation to pay a portion of the cost of the Project. The period of probable usefulness of the Project is fifteen (15) years, pursuant to Section 11.00(a)(20) of the Local Finance Law. For the purpose of paying a portion of the cost of the Project, the Bond Resolution authorized the issuance of serial bonds and/or bond anticipation notes, including renewals of the bond anticipation notes, of the City up to a maximum amount of \$746,000, the maximum maturity of which will not exceed the Project's 15-year period of probable usefulness. A tax sufficient to pay the principal of and interest on such obligations as they become due and payable will be levied on all the taxable real property of the City annually. A full copy of the Bond Resolution will be available for public inspection during normal business hours for twenty (20) days following publication of this Summary at the offices of the City Clerk, Plattsburgh City Hall – Second Floor, 41 City Hall Place, Plattsburgh, New York.

City Clerk
City of Plattsburgh, New York

Estoppel Certificate

CERTIFICATE OF CLERK

I, SYLVIA PARROTTE, City Clerk of the City of Plattsburgh (the "Issuer"), New York, DO HEREBY CERTIFY, as follows:

That a resolution of the Common Council of the Issuer was adopted on May 22, 2014, entitled:

"BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING STREET RESURFACING AND IMPROVEMENTS (PROJECT H5110.44), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,195,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$746,000 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$746,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE"

and such resolution contained an estoppel clause as permitted by §80.00 of the Local Finance Law and that a Notice setting forth the information required by §81.00 of the Local Finance Law together with a summary of such resolution was duly published as required by law.

That to the best of my knowledge, no action, suit or proceeding contesting the validity of the obligations authorized by such resolution was commenced within twenty days from the date of publication of such resolution and notice, or at any other time since said publication.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Issuer this ___ day of _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

EXTRACT OF MINUTES

Meeting of the Common Council of
the City of Plattsburgh, New York

May 22, 2104

A regular meeting of the Common Council of the City of Plattsburgh, New York, was held at City Hall, 41 City Hall Place, Plattsburgh, New York, on May 22, 2104 at ___ o'clock __.M. (Prevailing Time).

There were present:

There were absent:

Also present:

*

*

*

_____ offered the following resolution and moved its adoption:

BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2104, AUTHORIZING 2014 SOUTH CATHERINE STREET CONSTRUCTION (PROJECT H5110.43), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$6,686,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$792,200 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$792,200 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE.

BE IT RESOLVED by the Common Council (the "Common Council") of the City of Plattsburgh, New York (the "Issuer" or "City") (by the favorable vote of not less than two-thirds of all the members of said Common Council), as follows:

Section 1. The project was previously determined to be a Type II action under the State Environmental Quality Review Act (Article 8 of the Environmental Conservation Law); therefore, no further environmental review is required.

Section 2. The City is hereby authorized to finance the 2014 South Catherine Street construction project, from South Platt to Crete Boulevard, pursuant to specifications on file with the City Clerk under Project H5110.43 (the "Project").

The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto, is \$6,686,000 and said amount is hereby appropriated therefor. The plan of financing includes (a) issuance of up to \$792,200 serial bonds and/or bond anticipation notes of the City to finance a portion of said appropriation and the levy and collection of taxes on all the taxable real property in the City to pay the principal of said bonds and/or bond anticipation notes and the interest thereon as the same shall become due and payable, (b) use of \$5,028,800 Federal Aid Highway funding, (c) use of \$465,000 NYS – Marchiselli Aid funding, (d) use of \$320,000 from the Water Operating Fund and (e) use of \$80,000 from the Sewer Capital Reserve. No amount has previously been authorized by the Issuer to be applied to the payment of such specific object or purpose. The City may submit applications for additional grants and/or low interest loans from the New York State Environmental Facilities Corporation (EFC) and/or other funding sources and, to the extent that any

such moneys are received, may apply such funds to the payment of principal and interest on the bonds or bond anticipation notes. Pursuant to Local Finance Law Section 107.00(d)(9), a down payment from current funds is not required.

Section 3. The Common Council anticipates that the City may pay certain capital expenditures in connection with the appropriation prior to the receipt of the proceeds of the bonds and/or bond anticipation notes. The Common Council hereby declares its official intent to use bond proceeds to reimburse the City for such expenditures. This section of the Resolution is adopted solely for the purpose of establishing compliance with the requirements of Section 1.150-2 of the Treasury Regulations and does not bind the City to make any expenditure, incur any indebtedness or proceed with the acquisition, construction and installation of the Project.

Section 4. For the purpose of financing a portion of the Project, there are hereby authorized to be issued serial bonds of the City up to a maximum amount of \$792,200 the maximum maturity of which shall not exceed the fifteen (15) year period of probable usefulness set forth below, and which shall mature on or before such date as measured from the date of the bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. The bonds may be issued in the form of a statutory installment bond.

Section 5. There are hereby authorized to be issued bond anticipation notes for the specific object or purpose in an amount up to but not exceeding the \$792,200 maximum amount of serial bonds authorized to be issued, in anticipation of the issuance and sale of the serial bonds authorized, including renewals of such bond anticipation notes.

Section 6. There are no bond anticipation notes outstanding which have been previously issued in anticipation of the sale of these bonds. Neither are the bond anticipation notes hereby authorized renewal notes. These bond anticipation notes will not be issued in anticipation of bonds for an assessable improvement. These notes shall mature at such time as the Common Council may determine and may be renewed

from time to time, provided that in no event shall such notes or renewals extend more than one (1) year beyond the original date of issue except as permitted in the Local Finance Law.

Section 7. The period of probable usefulness of said specific object or purpose for which said \$792,200 serial bonds and/or bond anticipation notes authorized pursuant to this resolution are to be issued, within the limitations of Section 11.00 a. sub-section 20(c) of the Law, is 15 years.

Section 8. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds, shall be general obligations of the Issuer, payable as to both principal and interest by general tax upon all the taxable real property within the Issuer, subject to statutory limitations. The faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the Issuer by appropriation for (a) the amortization and redemption of the bonds and any notes issued in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 9. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00, Section 30.00, Section 50.00, Sections 56.00 to 60.00, inclusive, and Section 63.00 of the Law, the powers and duties of the Common Council relative to authorizing bond anticipation notes and the renewals thereof, determining whether to issue bonds with substantially level or declining annual debt service, prescribing the terms, form and contents of the bonds herein authorized, and any other powers or duties pertaining to or incidental to the sale and issuance of the bonds herein authorized, bond anticipation notes issued in anticipation of said bonds and the renewals thereof, are hereby delegated to the City Chamberlain, the Chief Fiscal Officer of the Issuer. The City Chamberlain, as Chief Fiscal Officer of the City, is authorized to execute and deliver any documents and to take such other action

as may be necessary and proper to carry out the intent of the provisions of this resolution.

Section 10. The exact date of issuance of the bonds and/or notes and the exact date upon which they shall become due and payable shall be fixed and determined by the Chief Fiscal Officer, provided, however, that the maturity of the notes or renewals shall not exceed one (1) year from the date of issue except as permitted by the Local Finance Law.

Section 11. If issued, the bonds and/or notes shall be in registered form, and shall bear interest at the determined rate.

Section 12. The Chief Fiscal Officer shall deliver the bonds and/or notes to the purchaser only against a certified check or other immediately available funds. The proceeds of the sale of the bonds and/or notes shall be deposited and/or invested as required by Section 165.00 of the Local Finance Law, and the power to invest the proceeds of sale is hereby delegated to the Chief Fiscal Officer and the power to invest in any instruments described in Section 165.00 is expressly granted.

Section 13. To the extent that it is permitted to do so under the Internal Revenue Code of 1986, as amended (the "Code"), the Common Council hereby designates the bonds and/or notes as "qualified tax-exempt obligations" under Section 265(b)(3) of the Code. The Common Council hereby covenants that, to the extent permitted under the Code in effect as of the date of issuance of any bonds and/or notes, it will (i) take all actions on its part necessary to cause interest on the bonds and/or notes to be excluded from gross income for purposes of Federal income taxes and (ii) refrain from taking any action which would cause interest on the bonds and/or notes to be included in gross income for purposes of Federal income taxes.

Section 14. Miller, Mannix, Schachner & Hafner, LLC, Glens Falls, New York, is the City's bond counsel.

Section 15. The validity of the bonds authorized by this resolution and of any notes issued in anticipation of the sale of said bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the Issuer is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this resolution are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the constitution of the State of New York.

Section 16. The City Clerk is hereby authorized and directed to publish this resolution or a summary thereof, together with a Notice attached in substantially the form prescribed in Section 81.00 of the Law, in the official newspaper of the Issuer.

Section 17. This resolution shall take effect immediately after its adoption.

The adoption of the foregoing resolution was seconded by _____ and duly put to a vote on roll call, which resulted as follows:

AYES: _____

NOES: _____

The resolution was declared adopted.

* * * * *

STATE OF NEW YORK)
) ss:
CITY OF PLATTSBURGH)

I, SYLVIA PARROTTE, the undersigned City Clerk of the City of Plattsburgh, New York, DO HEREBY CERTIFY:

That I have compared the annexed Extract of the Minutes of the meeting of the Common Council (the "Common Council"), including the resolution contained therein, held on May 22, 2104, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Common Council had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

IN WITNESS WHEREOF, I have hereunto set my hand and affix the seal of the City of Plattsburgh on _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

Estoppel Notice

**ESTOPPEL NOTICE/SUMMARY OF BOND RESOLUTION
AUTHORIZING THE ISSUANCE OF UP TO \$792,200
IN SERIAL BONDS AND/OR BOND ANTICIPATION NOTES
OF THE CITY OF PLATTSBURGH TO PAY A PORTION OF
THE COST OF 2014 SOUTH CATHERINE STREET CONSTRUCTION**

The Bond Resolution which is summarized below was adopted by the City of Plattsburgh Common Council on May 22, 2104. The validity of the obligations authorized by the Bond Resolution may be contested only if (i) the obligations were authorized for an object or purpose for which the City of Plattsburgh is not authorized to expend money or (ii) the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or (iii) such obligations were authorized in violation of the provisions of the State Constitution.

The specific object or purpose for which the obligations authorized by the Bond Resolution are to be issued is the 2014 South Catherine Street construction project, from South Platt to Crete Boulevard, including related preliminary and incidental costs (the "Project"). The maximum estimated cost of the Project is \$6,686,000. The plan of financing the maximum estimated cost includes: (a) issuance of up to \$792,200 serial bonds and/or bond anticipation notes of the City, (b) use of \$5,028,800 Federal Aid Highway funding, (c) use of \$465,000 NYS – Marchiselli Aid funding, (d) use of \$320,000 from the Water Operating Fund and (e) use of \$80,000 from the Sewer Capital Reserve. The period of probable usefulness of the Project is fifteen (15) years, pursuant to Section 11.00(a)(20)(c) of the Local Finance Law. For the purpose of paying a portion of the cost of the Project, the Bond Resolution authorized the issuance of serial bonds and/or bond anticipation notes, including renewals of the bond anticipation notes, of the City up to a maximum amount of \$792,200, the maximum maturity of which will not exceed the Project's 15-year period of probable usefulness. A tax sufficient to pay the principal of and interest on such obligations as they become due and payable will be levied on all the taxable real property of the City annually. A full copy of the Bond Resolution will be available for public inspection during normal business hours for twenty (20) days following publication of this Summary at the offices of the City Clerk, Plattsburgh City Hall – Second Floor, 41 City Hall Place, Plattsburgh, New York.

City Clerk
City of Plattsburgh, New York

Estoppel Certificate

CERTIFICATE OF CLERK

I, SYLVIA PARROTTE, City Clerk of the City of Plattsburgh (the "Issuer"), New York, DO HEREBY CERTIFY, as follows:

That a resolution of the Common Council of the Issuer was adopted on May 22, 2104, entitled:

"BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2104, AUTHORIZING 2014 SOUTH CATHERINE STREET CONSTRUCTION (PROJECT H5110.43), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$6,686,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$792,200 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$792,200 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE"

and such resolution contained an estoppel clause as permitted by §80.00 of the Local Finance Law and that a Notice setting forth the information required by §81.00 of the Local Finance Law together with a summary of such resolution was duly published as required by law.

That to the best of my knowledge, no action, suit or proceeding contesting the validity of the obligations authorized by such resolution was commenced within twenty days from the date of publication of such resolution and notice, or at any other time since said publication.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Issuer this ___ day of _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

EXTRACT OF MINUTES

Meeting of the Common Council of
the City of Plattsburgh, New York

May 22, 2014

A regular meeting of the Common Council of the City of Plattsburgh, New York, was held at City Hall, 41 City Hall Place, Plattsburgh, New York, on May 22, 2014 at ___ o'clock ___.M. (Prevailing Time).

There were present:

There were absent:

Also present:

*

*

*

_____ offered the following resolution and moved its adoption:

BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING 2014 SARANAC RIVER TRAIL DESIGN PROJECT (PROJECT H5110.45), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$228,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$46,000 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$46,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE.

BE IT RESOLVED by the Common Council (the "Common Council") of the City of Plattsburgh, New York (the "Issuer" or "City") (by the favorable vote of not less than two-thirds of all the members of said Common Council), as follows:

Section 1. Review under the State Environmental Quality Review Act (Article 8 of the Environmental Conservation Law) (SEQRA) of the entire project of which this action is a part was previously conducted and a SEQRA Negative Declaration was made by the Common Council and signed by the Mayor. Therefore, no further environmental review is required.

Section 2. The City is hereby authorized to finance the 2014 Saranac River Trail Design project, including design work for a new segment of the City's recreation path/trail for a bridge across the Saranac River as well as a new section of path, pursuant to plans and specifications on file with the City Clerk under Project H5110.45 (the "Project"). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto, is \$228,000 and said amount is hereby appropriated therefor. The plan of financing includes (a) the issuance of up to \$46,000 serial bonds and/or bond anticipation notes of the City to finance said appropriation and the levy and collection of taxes on all the taxable real property in the City to pay the principal of said bonds and/or bond anticipation notes and the interest thereon as the same shall become due and payable, and (b) use of \$182,000 in NYSDOT – TEP funding. No amount has previously been authorized by the Issuer to be applied to the payment of such specific object or purpose. The City may submit applications for additional grants and/or low interest loans from the New York State

Environmental Facilities Corporation (EFC) and/or other funding sources and, to the extent that any such moneys are received, may apply such funds to the payment of principal and interest on the bonds or bond anticipation notes. Pursuant to Local Finance Law Section 107.00(d)(9), a down payment from current funds is not required.

Section 3. The Common Council anticipates that the City may pay certain capital expenditures in connection with the appropriation prior to the receipt of the proceeds of the Bonds. The Common Council hereby declares its official intent to use Bond proceeds to reimburse the City for such expenditures. This section of the Resolution is adopted solely for the purpose of establishing compliance with the requirements of Section 1.150-2 of the Treasury Regulations and does not bind the City to make any expenditure, incur any indebtedness or proceed with the acquisition, construction and installation of the Project.

Section 4. For the purpose of financing a portion of the Project, there are hereby authorized to be issued serial bonds of the City up to a maximum amount of \$46,000 the maximum maturity of which shall not exceed the five (5) year period of probable usefulness set forth below, and which shall mature on or before such date as measured from the date of the bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. The bonds may be issued in the form of a statutory installment bond.

Section 5. There are hereby authorized to be issued bond anticipation notes for the specific object or purpose in an amount up to but not exceeding the \$46,000 maximum amount of serial bonds authorized to be issued, in anticipation of the issuance and sale of the serial bonds authorized, including renewals of such bond anticipation notes.

Section 6. There are no bond anticipation notes outstanding which have been previously issued in anticipation of the sale of these bonds. Neither are the bond anticipation notes hereby authorized renewal notes. These bond anticipation notes will not be issued in anticipation of bonds for an assessable improvement. These notes shall mature at such time as the Common Council may determine and may be renewed

from time to time, provided that in no event shall such notes or renewals extend more than one (1) year beyond the original date of issue except as permitted in the Local Finance Law.

Section 7. The period of probable usefulness of said specific object or purpose for which said \$46,000 serial bonds and/or bond anticipation notes authorized pursuant to this resolution are to be issued, within the limitations of Section 11.00 a. sub-section 62 of the Law is 5 years.

Section 8. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds, shall be general obligations of the Issuer, payable as to both principal and interest by general tax upon all the taxable real property within the Issuer, subject to statutory limitations. The faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the Issuer by appropriation for (a) the amortization and redemption of the bonds and any notes issued in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 9. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00, Section 30.00, Section 50.00, Sections 56.00 to 60.00, inclusive, and Section 63.00 of the Law, the powers and duties of the Common Council relative to authorizing bond anticipation notes and the renewals thereof, determining whether to issue bonds with substantially level or declining annual debt service, prescribing the terms, form and contents of the bonds herein authorized, and any other powers or duties pertaining to or incidental to the sale and issuance of the bonds herein authorized, bond anticipation notes issued in anticipation of said bonds and the renewals thereof, are hereby delegated to the City Chamberlain, the Chief Fiscal Officer of the Issuer. The City Chamberlain, as Chief Fiscal Officer of the City, is authorized to execute and deliver any documents and to take such other action

as may be necessary and proper to carry out the intent of the provisions of this resolution.

Section 10. The exact date of issuance of the bonds and/or notes and the exact date upon which they shall become due and payable shall be fixed and determined by the Chief Fiscal Officer, provided, however, that the maturity of the notes or renewals shall not exceed one (1) year from the date of issue except as permitted by the Local Finance Law.

Section 11. If issued, the bonds and/or notes shall be in registered form, and shall bear interest at the determined rate.

Section 12. The Chief Fiscal Officer shall deliver the bonds and/or notes to the purchaser only against a certified check or other immediately available funds. The proceeds of the sale of the bonds and/or notes shall be deposited and/or invested as required by Section 165.00 of the Local Finance Law, and the power to invest the proceeds of sale is hereby delegated to the Chief Fiscal Officer and the power to invest in any instruments described in Section 165.00 is expressly granted.

Section 13. To the extent that it is permitted to do so under the Internal Revenue Code of 1986, as amended (the "Code"), the Common Council hereby designates the bonds and/or notes as "qualified tax-exempt obligations" under Section 265(b)(3) of the Code. The Common Council hereby covenants that, to the extent permitted under the Code in effect as of the date of issuance of any bonds and/or notes, it will (i) take all actions on its part necessary to cause interest on the bonds and/or notes to be excluded from gross income for purposes of Federal income taxes and (ii) refrain from taking any action which would cause interest on the bonds and/or notes to be included in gross income for purposes of Federal income taxes.

Section 14. Miller, Mannix, Schachner & Hafner, LLC, Glens Falls, New York, is the City's bond counsel.

Section 15. The validity of the bonds authorized by this resolution and of any notes issued in anticipation of the sale of said bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the Issuer is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this resolution are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the constitution of the State of New York.

Section 16. The City Clerk is hereby authorized and directed to publish this resolution or a summary thereof, together with a Notice attached in substantially the form prescribed in Section 81.00 of the Law, in the official newspaper of the Issuer.

Section 17. This resolution shall take effect immediately after its adoption.

The adoption of the foregoing resolution was seconded by and duly put to a vote on roll call, which resulted as follows:

AYES: _____

NOES: _____

The resolution was declared adopted.

* * * * *

STATE OF NEW YORK)
) ss:
CITY OF PLATTSBURGH)

I, SYLVIA PARROTTE, the undersigned City Clerk of the City of Plattsburgh, New York, DO HEREBY CERTIFY:

That I have compared the annexed Extract of the Minutes of the meeting of the Common Council (the "Common Council"), including the resolution contained therein, held on May 22, 2014, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Common Council had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

IN WITNESS WHEREOF, I have hereunto set my hand and affix the seal of the City of Plattsburgh on _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

Estoppel Notice

**ESTOPPEL NOTICE/SUMMARY OF BOND RESOLUTION
AUTHORIZING THE ISSUANCE OF UP TO \$46,000
IN SERIAL BONDS AND/OR BOND ANTICIPATION NOTES
OF THE CITY OF PLATTSBURGH TO PAY A PORTION OF
THE COST OF 2014 SARANAC RIVER TRAIL DESIGN**

The Bond Resolution which is summarized below was adopted by the City of Plattsburgh Common Council on May 22, 2014. The validity of the obligations authorized by the Bond Resolution may be contested only if (i) the obligations were authorized for an object or purpose for which the City of Plattsburgh is not authorized to expend money or (ii) the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or (iii) such obligations were authorized in violation of the provisions of the State Constitution.

The specific object or purpose for which the obligations authorized by the Bond Resolution are to be issued is the 2014 Saranac River Trail Design, including design work for a new segment of the City's recreation path/trail for a bridge across the Saranac River as well as a new section of path, and further including related preliminary and incidental costs (the "Project"). The maximum estimated cost of the Project is \$228,000. The plan of financing the maximum estimated cost includes (a) the issuance of up to \$46,000 in bonds and/or bond anticipation notes and (b) use of \$182,000 in NYSDOT – TEP funding. The period of probable usefulness of the Project is five (5) years, pursuant to Section 11.00(a)(62) of the Local Finance Law. For the purpose of paying a portion of the cost of the Project, the Bond Resolution authorized the issuance of serial bonds and/or bond anticipation notes, including renewals of the bond anticipation notes, of the City up to a maximum amount of \$46,000, the maximum maturity of which will not exceed the Project's 5-year period of probable usefulness. A tax sufficient to pay the principal of and interest on such obligations as they become due and payable will be levied on all the taxable real property of the City annually. A full copy of the Bond Resolution will be available for public inspection during normal business hours for twenty (20) days following publication of this Summary at the offices of the City Clerk, Plattsburgh City Hall – Second Floor, 41 City Hall Place, Plattsburgh, New York.

City Clerk
City of Plattsburgh, New York

Estoppel Certificate

CERTIFICATE OF CLERK

I, SYLVIA PARROTTE, City Clerk of the City of Plattsburgh (the "Issuer"), New York, DO HEREBY CERTIFY, as follows:

That a resolution of the Common Council of the Issuer was adopted on May 22, 2014, entitled:

"BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING 2014 SARANAC RIVER TRAIL DESIGN PROJECT (PROJECT H5110.45), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$228,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$46,000 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$46,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE"

and such resolution contained an estoppel clause as permitted by §80.00 of the Local Finance Law and that a Notice setting forth the information required by §81.00 of the Local Finance Law together with a summary of such resolution was duly published as required by law.

That to the best of my knowledge, no action, suit or proceeding contesting the validity of the obligations authorized by such resolution was commenced within twenty days from the date of publication of such resolution and notice, or at any other time since said publication.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Issuer this __ day of _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

EXTRACT OF MINUTES

Meeting of the Common Council of
the City of Plattsburgh, New York

May 22, 2014

A regular meeting of the Common Council of the City of Plattsburgh, New York, was held at City Hall, 41 City Hall Place, Plattsburgh, New York, on May 22, 2014 at ___ o'clock __.M. (Prevailing Time).

There were present:

There were absent:

Also present:

*

*

*

_____ offered the following resolution and moved its adoption:

BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING ACQUISITION AND INSTALLATION OF GYM LOCKERS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$23,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$23,000 SERIAL BONDS OF SAID CITY TO FINANCE SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$23,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE.

BE IT RESOLVED by the Common Council (the "Common Council") of the City of Plattsburgh, New York (the "Issuer" or "City") (by the favorable vote of not less than two-thirds of all the members of said Common Council), as follows:

Section 1. Based upon the review of this action by the Issuer, the Common Council hereby determines that it is a "Type II Action" under the State Environmental Quality Review Act (Article 8 of the Environmental Conservation Law) and therefore no further environmental review is required.

Section 2. The City is hereby authorized to finance the acquisition and installation of gym lockers for the City's public recreation facilities.

The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto, is \$23,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of up to \$23,000 serial bonds and/or bond anticipation notes of the City to finance said appropriation and the levy and collection of taxes on all the taxable real property in the City to pay the principal of said bonds and/or bond anticipation notes and the interest thereon as the same shall become due and payable. No amount has previously been authorized by the Issuer to be applied to the payment of such specific object or purpose. The City may submit applications for additional grants and/or low interest loans from the New York State Environmental Facilities Corporation (EFC) and/or other funding sources and, to the extent that any such moneys are received, may apply such funds to the payment of principal and interest on the bonds or bond anticipation notes. Pursuant to

Local Finance Law Section 107.00(d)(9), a down payment from current funds is not required.

Section 3. The Common Council anticipates that the City may pay certain capital expenditures in connection with the appropriation prior to the receipt of the proceeds of the bonds and/or bond anticipation notes. The Common Council hereby declares its official intent to use bond proceeds to reimburse the City for such expenditures. This section of the Resolution is adopted solely for the purpose of establishing compliance with the requirements of Section 1.150-2 of the Treasury Regulations and does not bind the City to make any expenditure, incur any indebtedness or proceed with the acquisition, construction and installation of the Project.

Section 4. For the purpose of financing the Project, there are hereby authorized to be issued serial bonds of the City up to a maximum amount of \$23,000 the maximum maturity of which shall not exceed the five (5) year period of probable usefulness set forth below, and which shall mature on or before such date as measured from the date of the bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. The bonds may be issued in the form of a statutory installment bond.

Section 5. There are hereby authorized to be issued bond anticipation notes for the specific object or purpose in an amount up to but not exceeding the \$23,000 maximum amount of serial bonds authorized to be issued, in anticipation of the issuance and sale of the serial bonds authorized, including renewals of such bond anticipation notes.

Section 6. There are no bond anticipation notes outstanding which have been previously issued in anticipation of the sale of these bonds. Neither are the bond anticipation notes hereby authorized renewal notes. These bond anticipation notes will not be issued in anticipation of bonds for an assessable improvement. These notes shall mature at such time as the Common Council may determine and may be renewed from time to time, provided that in no event shall such notes or renewals extend more

than one (1) year beyond the original date of issue except as permitted in the Local Finance Law.

Section 7. The period of probable usefulness of said specific object or purpose for which said \$23,000 serial bonds and/or bond anticipation notes authorized pursuant to this resolution are to be issued, within the limitations of Section 11.00 a. sub-section 32 of the Law, is 5 years.

Section 8. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds, shall be general obligations of the Issuer, payable as to both principal and interest by general tax upon all the taxable real property within the Issuer, subject to statutory limitations. The faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the Issuer by appropriation for (a) the amortization and redemption of the bonds and any notes issued in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 9. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00, Section 30.00, Section 50.00, Sections 56.00 to 60.00, inclusive, and Section 63.00 of the Law, the powers and duties of the Common Council relative to authorizing bond anticipation notes and the renewals thereof, determining whether to issue bonds with substantially level or declining annual debt service, prescribing the terms, form and contents of the bonds herein authorized, and any other powers or duties pertaining to or incidental to the sale and issuance of the bonds herein authorized, bond anticipation notes issued in anticipation of said bonds and the renewals thereof, are hereby delegated to the City Chamberlain, the Chief Fiscal Officer of the Issuer. The City Chamberlain, as Chief Fiscal Officer of the City, is authorized to execute and deliver any documents and to take such other action

as may be necessary and proper to carry out the intent of the provisions of this resolution.

Section 10. The exact date of issuance of the bonds and/or notes and the exact date upon which they shall become due and payable shall be fixed and determined by the Chief Fiscal Officer, provided, however, that the maturity of the notes or renewals shall not exceed one (1) year from the date of issue except as permitted by the Local Finance Law.

Section 11. If issued, the bonds and/or notes shall be in registered form, and shall bear interest at the determined rate.

Section 12. The Chief Fiscal Officer shall deliver the bonds and/or notes to the purchaser only against a certified check or other immediately available funds. The proceeds of the sale of the bonds and/or notes shall be deposited and/or invested as required by Section 165.00 of the Local Finance Law, and the power to invest the proceeds of sale is hereby delegated to the Chief Fiscal Officer and the power to invest in any instruments described in Section 165.00 is expressly granted.

Section 13. To the extent that it is permitted to do so under the Internal Revenue Code of 1986, as amended (the "Code"), the Common Council hereby designates the bonds and/or notes as "qualified tax-exempt obligations" under Section 265(b)(3) of the Code. The Common Council hereby covenants that, to the extent permitted under the Code in effect as of the date of issuance of any bonds and/or notes, it will (i) take all actions on its part necessary to cause interest on the bonds and/or notes to be excluded from gross income for purposes of Federal income taxes and (ii) refrain from taking any action which would cause interest on the bonds and/or notes to be included in gross income for purposes of Federal income taxes.

Section 14. Miller, Mannix, Schachner & Hafner, LLC, Glens Falls, New York, is the City's bond counsel.

Section 15. The validity of the bonds authorized by this resolution and of any notes issued in anticipation of the sale of said bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the Issuer is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this resolution are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the constitution of the State of New York.

Section 16. The City Clerk is hereby authorized and directed to publish this resolution or a summary thereof, together with a Notice attached in substantially the form prescribed in Section 81.00 of the Law, in the official newspaper of the Issuer.

Section 17. This resolution shall take effect immediately after its adoption.

The adoption of the foregoing resolution was seconded by _____ and duly put to a vote on roll call, which resulted as follows:

AYES: _____

NOES: _____

The resolution was declared adopted.

* * * * *

STATE OF NEW YORK)
) ss:
CITY OF PLATTSBURGH)

I, SYLVIA PARROTTE, the undersigned City Clerk of the City of Plattsburgh, New York, DO HEREBY CERTIFY:

That I have compared the annexed Extract of the Minutes of the meeting of the Common Council (the "Common Council"), including the resolution contained therein, held on May 22, 2014, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Common Council had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

IN WITNESS WHEREOF, I have hereunto set my hand and affix the seal of the City of Plattsburgh on _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

Estoppel Notice

**ESTOPPEL NOTICE/SUMMARY OF BOND RESOLUTION
AUTHORIZING THE ISSUANCE OF UP TO \$23,000
IN SERIAL BONDS AND/OR BOND ANTICIPATION NOTES
OF THE CITY OF PLATTSBURGH TO PAY THE COST OF
ACQUISITION AND INSTALLATION OF GYM LOCKERS**

The Bond Resolution which is summarized below was adopted by the City of Plattsburgh Common Council on May 22, 2014. The validity of the obligations authorized by the Bond Resolution may be contested only if (i) the obligations were authorized for an object or purpose for which the City of Plattsburgh is not authorized to expend money or (ii) the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or (iii) such obligations were authorized in violation of the provisions of the State Constitution.

The specific object or purpose for which the obligations authorized by the Bond Resolution are to be issued is the acquisition and installation of gym lockers, including related preliminary and incidental costs (the "Project"). The maximum estimated cost of the Project is \$23,000. The plan of financing the maximum estimated cost includes the issuance of up to \$23,000 in serial bonds and/or bond anticipation notes of the City. The period of probable usefulness of the Project is five (5) years, pursuant to Section 11.00(a)(32) of the Local Finance Law. For the purpose of paying the cost of the Project, the Bond Resolution authorized the issuance of serial bonds and/or bond anticipation notes, including renewals of the bond anticipation notes, of the City up to a maximum amount of \$23,000, the maximum maturity of which will not exceed the Project's 5-year period of probable usefulness. A tax sufficient to pay the principal of and interest on such obligations as they become due and payable will be levied on all the taxable real property of the City annually. A full copy of the Bond Resolution will be available for public inspection during normal business hours for twenty (20) days following publication of this Summary at the offices of the City Clerk, Plattsburgh City Hall – Second Floor, 41 City Hall Place, Plattsburgh, New York.

City Clerk
City of Plattsburgh, New York

Estoppel Certificate

CERTIFICATE OF CLERK

I, SYLVIA PARROTTE, City Clerk of the City of Plattsburgh (the "Issuer"), New York, DO HEREBY CERTIFY, as follows:

That a resolution of the Common Council of the Issuer was adopted on May 22, 2014, entitled:

"BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING ACQUISITION AND INSTALLATION OF GYM LOCKERS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$23,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$23,000 SERIAL BONDS OF SAID CITY TO FINANCE SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$23,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE"

and such resolution contained an estoppel clause as permitted by §80.00 of the Local Finance Law and that a Notice setting forth the information required by §81.00 of the Local Finance Law together with a summary of such resolution was duly published as required by law.

That to the best of my knowledge, no action, suit or proceeding contesting the validity of the obligations authorized by such resolution was commenced within twenty days from the date of publication of such resolution and notice, or at any other time since said publication.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Issuer this ___ day of _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

EXTRACT OF MINUTES

Meeting of the Common Council of
the City of Plattsburgh, New York

May 22, 2104

A regular meeting of the Common Council of the City of Plattsburgh, New York, was held at City Hall, 41 City Hall Place, Plattsburgh, New York, on May 22, 2104 at ___ o'clock ___.M. (Prevailing Time).

There were present:

There were absent:

Also present:

*

*

*

_____ offered the following resolution and moved its adoption:

BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2104, AUTHORIZING FIRE STATION 2 ROOF REPLACEMENT, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$120,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$120,000 SERIAL BONDS OF SAID CITY TO FINANCE SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$120,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE.

BE IT RESOLVED by the Common Council (the "Common Council") of the City of Plattsburgh, New York (the "Issuer" or "City") (by the favorable vote of not less than two-thirds of all the members of said Common Council), as follows:

Section 1. Based upon the review of this action by the Issuer, the Common Council hereby determines that it is a "Type II Action" under the State Environmental Quality Review Act (Article 8 of the Environmental Conservation Law) and therefore no further environmental review is required.

Section 2. The City is hereby authorized to finance the replacement of the Fire Station 2 roof (the "Project").

The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto, is \$120,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of up to \$120,000 serial bonds and/or bond anticipation notes of the City to finance said appropriation and the levy and collection of taxes on all the taxable real property in the City to pay the principal of said bonds and/or bond anticipation notes and the interest thereon as the same shall become due and payable. No amount has previously been authorized by the Issuer to be applied to the payment of such specific object or purpose. The City may submit applications for additional grants and/or low interest loans from the New York State Environmental Facilities Corporation (EFC) and/or other funding sources and, to the extent that any such moneys are received, may apply such funds to the payment of principal and interest on the bonds or bond anticipation notes. Pursuant to

Local Finance Law Section 107.00(d)(9), a down payment from current funds is not required.

Section 3. The Common Council anticipates that the City may pay certain capital expenditures in connection with the appropriation prior to the receipt of the proceeds of the bonds and/or bond anticipation notes. The Common Council hereby declares its official intent to use bond proceeds to reimburse the City for such expenditures. This section of the Resolution is adopted solely for the purpose of establishing compliance with the requirements of Section 1.150-2 of the Treasury Regulations and does not bind the City to make any expenditure, incur any indebtedness or proceed with the acquisition, construction and installation of the Project.

Section 4. For the purpose of financing the Project, there are hereby authorized to be issued serial bonds of the City up to a maximum amount of \$120,000 the maximum maturity of which shall not exceed the fifteen (15) year period of probable usefulness set forth below, and which shall mature on or before such date as measured from the date of the bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. The bonds may be issued in the form of a statutory installment bond.

Section 5. There are hereby authorized to be issued bond anticipation notes for the specific object or purpose in an amount up to but not exceeding the \$120,000 maximum amount of serial bonds authorized to be issued, in anticipation of the issuance and sale of the serial bonds authorized, including renewals of such bond anticipation notes.

Section 6. There are no bond anticipation notes outstanding which have been previously issued in anticipation of the sale of these bonds. Neither are the bond anticipation notes hereby authorized renewal notes. These bond anticipation notes will not be issued in anticipation of bonds for an assessable improvement. These notes shall mature at such time as the Common Council may determine and may be renewed from time to time, provided that in no event shall such notes or renewals extend more

than one (1) year beyond the original date of issue except as permitted in the Local Finance Law.

Section 7. The period of probable usefulness of said specific object or purpose for which said \$120,000 serial bonds and/or bond anticipation notes authorized pursuant to this resolution are to be issued, within the limitations of Section 11.00 a. sub-section 12(2) of the Law, is 15 years.

Section 8. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds, shall be general obligations of the Issuer, payable as to both principal and interest by general tax upon all the taxable real property within the Issuer, subject to statutory limitations. The faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the Issuer by appropriation for (a) the amortization and redemption of the bonds and any notes issued in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 9. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00, Section 30.00, Section 50.00, Sections 56.00 to 60.00, inclusive, and Section 63.00 of the Law, the powers and duties of the Common Council relative to authorizing bond anticipation notes and the renewals thereof, determining whether to issue bonds with substantially level or declining annual debt service, prescribing the terms, form and contents of the bonds herein authorized, and any other powers or duties pertaining to or incidental to the sale and issuance of the bonds herein authorized, bond anticipation notes issued in anticipation of said bonds and the renewals thereof, are hereby delegated to the City Chamberlain, the Chief Fiscal Officer of the Issuer. The City Chamberlain, as Chief Fiscal Officer of the City, is authorized to execute and deliver any documents and to take such other action

as may be necessary and proper to carry out the intent of the provisions of this resolution.

Section 10. The exact date of issuance of the bonds and/or notes and the exact date upon which they shall become due and payable shall be fixed and determined by the Chief Fiscal Officer, provided, however, that the maturity of the notes or renewals shall not exceed one (1) year from the date of issue except as permitted by the Local Finance Law.

Section 11. If issued, the bonds and/or notes shall be in registered form, and shall bear interest at the determined rate.

Section 12. The Chief Fiscal Officer shall deliver the bonds and/or notes to the purchaser only against a certified check or other immediately available funds. The proceeds of the sale of the bonds and/or notes shall be deposited and/or invested as required by Section 165.00 of the Local Finance Law, and the power to invest the proceeds of sale is hereby delegated to the Chief Fiscal Officer and the power to invest in any instruments described in Section 165.00 is expressly granted.

Section 13. To the extent that it is permitted to do so under the Internal Revenue Code of 1986, as amended (the "Code"), the Common Council hereby designates the bonds and/or notes as "qualified tax-exempt obligations" under Section 265(b)(3) of the Code. The Common Council hereby covenants that, to the extent permitted under the Code in effect as of the date of issuance of any bonds and/or notes, it will (i) take all actions on its part necessary to cause interest on the bonds and/or notes to be excluded from gross income for purposes of Federal income taxes and (ii) refrain from taking any action which would cause interest on the bonds and/or notes to be included in gross income for purposes of Federal income taxes.

Section 14. Miller, Mannix, Schachner & Hafner, LLC, Glens Falls, New York, is the City's bond counsel.

Section 15. The validity of the bonds authorized by this resolution and of any notes issued in anticipation of the sale of said bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the Issuer is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this resolution are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the constitution of the State of New York.

Section 16. The City Clerk is hereby authorized and directed to publish this resolution or a summary thereof, together with a Notice attached in substantially the form prescribed in Section 81.00 of the Law, in the official newspaper of the Issuer.

Section 17. This resolution shall take effect immediately after its adoption.

The adoption of the foregoing resolution was seconded by _____ and duly put to a vote on roll call, which resulted as follows:

AYES: _____

NOES: _____

The resolution was declared adopted.

* * * * *

STATE OF NEW YORK)
) ss:
CITY OF PLATTSBURGH)

I, SYLVIA PARROTTE, the undersigned City Clerk of the City of Plattsburgh, New York, DO HEREBY CERTIFY:

That I have compared the annexed Extract of the Minutes of the meeting of the Common Council (the "Common Council"), including the resolution contained therein, held on May 22, 2104, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Common Council had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

IN WITNESS WHEREOF, I have hereunto set my hand and affix the seal of the City of Plattsburgh on _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

Estoppel Notice

**ESTOPPEL NOTICE/SUMMARY OF BOND RESOLUTION
AUTHORIZING THE ISSUANCE OF UP TO \$120,000
IN SERIAL BONDS AND/OR BOND ANTICIPATION NOTES
OF THE CITY OF PLATTSBURGH TO PAY
THE COST OF FIRE STATION 2 ROOF REPLACEMENT**

The Bond Resolution which is summarized below was adopted by the City of Plattsburgh Common Council on May 22, 2104. The validity of the obligations authorized by the Bond Resolution may be contested only if (i) the obligations were authorized for an object or purpose for which the City of Plattsburgh is not authorized to expend money or (ii) the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or (iii) such obligations were authorized in violation of the provisions of the State Constitution.

The specific object or purpose for which the obligations authorized by the Bond Resolution are to be issued is the replacement of the Fire Station 2 roof, including related preliminary and incidental costs (the "Project"). The maximum estimated cost of the Project is \$120,000. The plan of financing the maximum estimated cost includes the issuance of up to \$120,000 in serial bonds and/or bond anticipation notes of the City. The period of probable usefulness of the Project is fifteen (15) years, pursuant to Section 11.00(a)(12)(2) of the Local Finance Law. For the purpose of paying the cost of the Project, the Bond Resolution authorized the issuance of serial bonds and/or bond anticipation notes, including renewals of the bond anticipation notes, of the City up to a maximum amount of \$120,000, the maximum maturity of which will not exceed the Project's 15-year period of probable usefulness. A tax sufficient to pay the principal of and interest on such obligations as they become due and payable will be levied on all the taxable real property of the City annually. A full copy of the Bond Resolution will be available for public inspection during normal business hours for twenty (20) days following publication of this Summary at the offices of the City Clerk, Plattsburgh City Hall – Second Floor, 41 City Hall Place, Plattsburgh, New York.

City Clerk
City of Plattsburgh, New York

Estoppel Certificate

CERTIFICATE OF CLERK

I, SYLVIA PARROTTE, City Clerk of the City of Plattsburgh (the "Issuer"), New York, DO HEREBY CERTIFY, as follows:

That a resolution of the Common Council of the Issuer was adopted on May 22, 2104, entitled:

"BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2104, AUTHORIZING FIRE STATION 2 ROOF REPLACEMENT, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$120,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$120,000 SERIAL BONDS OF SAID CITY TO FINANCE SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$120,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE"

and such resolution contained an estoppel clause as permitted by §80.00 of the Local Finance Law and that a Notice setting forth the information required by §81.00 of the Local Finance Law together with a summary of such resolution was duly published as required by law.

That to the best of my knowledge, no action, suit or proceeding contesting the validity of the obligations authorized by such resolution was commenced within twenty days from the date of publication of such resolution and notice, or at any other time since said publication.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Issuer this ___ day of _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

EXTRACT OF MINUTES

Meeting of the Common Council of
the City of Plattsburgh, New York

May 22, 2014

A regular meeting of the Common Council of the City of Plattsburgh, New York, was held at City Hall, 41 City Hall Place, Plattsburgh, New York, on May 22, 2014 at ___ o'clock ___.M. (Prevailing Time).

There were present:

There were absent:

Also present:

*

*

*

_____ offered the following resolution and moved its adoption:

BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING PURCHASE OF THREE AUTOMOBILES (PROJECT H5110.46), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$49,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$35,000 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$35,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE.

BE IT RESOLVED by the Common Council (the "Common Council") of the City of Plattsburgh, New York (the "Issuer" or "City") (by the favorable vote of not less than two-thirds of all the members of said Common Council), as follows:

Section 1. Based upon the review of this action by the Issuer, the Common Council hereby determines that it is a "Type II Action" under the State Environmental Quality Review Act (Article 8 of the Environmental Conservation Law) and therefore no further environmental review is required.

Section 2. The City is hereby authorized to finance the purchase of two passenger vehicles for the Recreation Department and one passenger vehicle for the Department of Public Works (DPW), pursuant to specifications on file with the City Clerk under Project H5110.46 (the "Project").

The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto, is \$49,000 and said amount is hereby appropriated therefor. The plan of financing includes (a) the issuance of up to \$35,000 serial bonds and/or bond anticipation notes of the City to finance a portion of said appropriation and the levy and collection of taxes on all the taxable real property in the City to pay the principal of said bonds and/or bond anticipation notes and the interest thereon as the same shall become due and payable, (b) the use of \$7,000 from the Water Capital Reserve Fund to pay a portion of the cost of the DPW vehicle and (c) the use of \$7,000 from the Sewer Capital Reserve Fund to pay a portion of the cost of the DPW vehicle. No amount has previously been authorized by the Issuer to be applied to the payment of such specific object or purpose. The City may submit applications for

additional grants and/or low interest loans from the New York State Environmental Facilities Corporation (EFC) and/or other funding sources and, to the extent that any such moneys are received, may apply such funds to the payment of principal and interest on the bonds or bond anticipation notes. Pursuant to Local Finance Law Section 107.00(d)(9), a down payment from current funds is not required.

Section 3. The Common Council anticipates that the City may pay certain capital expenditures in connection with the appropriation prior to the receipt of the proceeds of the bonds and/or bond anticipation notes. The Common Council hereby declares its official intent to use bond proceeds to reimburse the City for such expenditures. This section of the Resolution is adopted solely for the purpose of establishing compliance with the requirements of Section 1.150-2 of the Treasury Regulations and does not bind the City to make any expenditure, incur any indebtedness or proceed with the acquisition, construction and installation of the Project.

Section 4. For the purpose of financing a portion of the Project, there are hereby authorized to be issued serial bonds of the City up to a maximum amount of \$35,000 the maximum maturity of which shall not exceed the three (3) year period of probable usefulness set forth below, and which shall mature on or before such date as measured from the date of the bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. The bonds may be issued in the form of a statutory installment bond.

Section 5. There are hereby authorized to be issued bond anticipation notes for the specific object or purpose in an amount up to but not exceeding the \$35,000 maximum amount of serial bonds authorized to be issued, in anticipation of the issuance and sale of the serial bonds authorized, including renewals of such bond anticipation notes.

Section 6. There are no bond anticipation notes outstanding which have been previously issued in anticipation of the sale of these bonds. Neither are the bond anticipation notes hereby authorized renewal notes. These bond anticipation notes will

not be issued in anticipation of bonds for an assessable improvement. These notes shall mature at such time as the Common Council may determine and may be renewed from time to time, provided that in no event shall such notes or renewals extend more than one (1) year beyond the original date of issue except as permitted in the Local Finance Law.

Section 7. The period of probable usefulness of said specific object or purpose for which said \$35,000 serial bonds and/or bond anticipation notes authorized pursuant to this resolution are to be issued, within the limitations of Section 11.00 a. sub-section 77 of the Law, is 3 years.

Section 8. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds, shall be general obligations of the Issuer, payable as to both principal and interest by general tax upon all the taxable real property within the Issuer, subject to statutory limitations. The faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the Issuer by appropriation for (a) the amortization and redemption of the bonds and any notes issued in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 9. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00, Section 30.00, Section 50.00, Sections 56.00 to 60.00, inclusive, and Section 63.00 of the Law, the powers and duties of the Common Council relative to authorizing bond anticipation notes and the renewals thereof, determining whether to issue bonds with substantially level or declining annual debt service, prescribing the terms, form and contents of the bonds herein authorized, and any other powers or duties pertaining to or incidental to the sale and issuance of the bonds herein authorized, bond anticipation notes issued in anticipation of said bonds and the renewals thereof, are hereby delegated to the City Chamberlain, the

Chief Fiscal Officer of the Issuer. The City Chamberlain, as Chief Fiscal Officer of the City, is authorized to execute and deliver any documents and to take such other action as may be necessary and proper to carry out the intent of the provisions of this resolution.

Section 10. The exact date of issuance of the bonds and/or notes and the exact date upon which they shall become due and payable shall be fixed and determined by the Chief Fiscal Officer, provided, however, that the maturity of the notes or renewals shall not exceed one (1) year from the date of issue except as permitted by the Local Finance Law.

Section 11. If issued, the bonds and/or notes shall be in registered form, and shall bear interest at the determined rate.

Section 12. The Chief Fiscal Officer shall deliver the bonds and/or notes to the purchaser only against a certified check or other immediately available funds. The proceeds of the sale of the bonds and/or notes shall be deposited and/or invested as required by Section 165.00 of the Local Finance Law, and the power to invest the proceeds of sale is hereby delegated to the Chief Fiscal Officer and the power to invest in any instruments described in Section 165.00 is expressly granted.

Section 13. To the extent that it is permitted to do so under the Internal Revenue Code of 1986, as amended (the "Code"), the Common Council hereby designates the bonds and/or notes as "qualified tax-exempt obligations" under Section 265(b)(3) of the Code. The Common Council hereby covenants that, to the extent permitted under the Code in effect as of the date of issuance of any bonds and/or notes, it will (i) take all actions on its part necessary to cause interest on the bonds and/or notes to be excluded from gross income for purposes of Federal income taxes and (ii) refrain from taking any action which would cause interest on the bonds and/or notes to be included in gross income for purposes of Federal income taxes.

Section 14. Miller, Mannix, Schachner & Hafner, LLC, Glens Falls, New York, is the City's bond counsel.

Section 15. The validity of the bonds authorized by this resolution and of any notes issued in anticipation of the sale of said bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the Issuer is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this resolution are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the constitution of the State of New York.

Section 16. The City Clerk is hereby authorized and directed to publish this resolution or a summary thereof, together with a Notice attached in substantially the form prescribed in Section 81.00 of the Law, in the official newspaper of the Issuer.

Section 17. This resolution shall take effect immediately after its adoption.

The adoption of the foregoing resolution was seconded by and duly put to a vote on roll call, which resulted as follows:

AYES: _____

NOES: _____

The resolution was declared adopted.

* * * * *

STATE OF NEW YORK)
) ss:
CITY OF PLATTSBURGH)

I, SYLVIA PARROTTE, the undersigned City Clerk of the City of Plattsburgh, New York, DO HEREBY CERTIFY:

That I have compared the annexed Extract of the Minutes of the meeting of the Common Council (the "Common Council"), including the resolution contained therein, held on May 22, 2014, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Common Council had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

IN WITNESS WHEREOF, I have hereunto set my hand and affix the seal of the City of Plattsburgh on _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

Estoppel Notice

**ESTOPPEL NOTICE/SUMMARY OF BOND RESOLUTION
AUTHORIZING THE ISSUANCE OF UP TO \$35,000
IN SERIAL BONDS AND/OR BOND ANTICIPATION NOTES
OF THE CITY OF PLATTSBURGH TO PAY A PORTION OF
THE COST OF THREE PASSENGER VEHICLES**

The Bond Resolution which is summarized below was adopted by the City of Plattsburgh Common Council on May 22, 2014. The validity of the obligations authorized by the Bond Resolution may be contested only if (i) the obligations were authorized for an object or purpose for which the City of Plattsburgh is not authorized to expend money or (ii) the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or (iii) such obligations were authorized in violation of the provisions of the State Constitution.

The specific object or purpose for which the obligations authorized by the Bond Resolution are to be issued is the purchase of two passenger vehicles for the Recreation Department and one passenger vehicle for the Public Works Department, and further including related preliminary and incidental costs (the "Project"). The maximum estimated cost of the Project is \$49,000. The plan of financing the maximum estimated cost includes: (a) the issuance of up to \$35,000 in bonds and/or bond anticipation notes of the City, (b) the use of \$7,000 from the Water Capital Reserve Fund to pay a portion of the cost of the DPW vehicle and (c) the use of \$7,000 from the Sewer Capital Reserve Fund to pay a portion of the cost of the DPW vehicle. The period of probable usefulness of the Project is three (3) years, pursuant to Section 11.00(a)(77) of the Local Finance Law. For the purpose of paying a portion of the cost of the Project, the Bond Resolution authorized the issuance of serial bonds and/or bond anticipation notes, including renewals of the bond anticipation notes, of the City up to a maximum amount of \$35,000, the maximum maturity of which will not exceed the Project's 3-year period of probable usefulness. A tax sufficient to pay the principal of and interest on such obligations as they become due and payable will be levied on all the taxable real property of the City annually. A full copy of the Bond Resolution will be available for public inspection during normal business hours for twenty (20) days following publication of this Summary at the offices of the City Clerk, Plattsburgh City Hall – Second Floor, 41 City Hall Place, Plattsburgh, New York.

City Clerk
City of Plattsburgh, New York

Estoppel Certificate

CERTIFICATE OF CLERK

I, SYLVIA PARROTTE, City Clerk of the City of Plattsburgh (the "Issuer"), New York, DO HEREBY CERTIFY, as follows:

That a resolution of the Common Council of the Issuer was adopted on May 22, 2014, entitled:

"BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING PURCHASE OF THREE AUTOMOBILES (PROJECT H5110.46), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$49,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$35,000 SERIAL BONDS OF SAID CITY TO FINANCE A PORTION OF SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$35,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE"

and such resolution contained an estoppel clause as permitted by §80.00 of the Local Finance Law and that a Notice setting forth the information required by §81.00 of the Local Finance Law together with a summary of such resolution was duly published as required by law.

That to the best of my knowledge, no action, suit or proceeding contesting the validity of the obligations authorized by such resolution was commenced within twenty days from the date of publication of such resolution and notice, or at any other time since said publication.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Issuer this __ day of _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

EXTRACT OF MINUTES

Meeting of the Common Council of
the City of Plattsburgh, New York

May 22, 2014

A regular meeting of the Common Council of the City of Plattsburgh, New York, was held at City Hall, 41 City Hall Place, Plattsburgh, New York, on May 22, 2014 at ___ o'clock ___.M. (Prevailing Time).

There were present:

There were absent:

Also present:

*

*

*

_____ offered the following resolution and moved its adoption:

BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING PURCHASE OF AN ASPHALT HEATER TRAILER (PROJECT H5110.46), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$60,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$60,000 SERIAL BONDS OF SAID CITY TO FINANCE SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$60,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE.

BE IT RESOLVED by the Common Council (the "Common Council") of the City of Plattsburgh, New York (the "Issuer" or "City") (by the favorable vote of not less than two-thirds of all the members of said Common Council), as follows:

Section 1. Based upon the review of this action by the Issuer, the Common Council hereby determines that it is a "Type II Action" under the State Environmental Quality Review Act (Article 8 of the Environmental Conservation Law) and therefore no further environmental review is required.

Section 2. The City is hereby authorized to finance the purchase of an asphalt heater trailer, pursuant to specifications on file with the City Clerk under Project H5110.46 (the "Project").

The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto, is \$60,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of up to \$60,000 serial bonds and/or bond anticipation notes of the City to finance said appropriation and the levy and collection of taxes on all the taxable real property in the City to pay the principal of said bonds and/or bond anticipation notes and the interest thereon as the same shall become due and payable. No amount has previously been authorized by the Issuer to be applied to the payment of such specific object or purpose. The City may submit applications for additional grants and/or low interest loans from the New York State Environmental Facilities Corporation (EFC) and/or other funding sources and, to the extent that any such moneys are received, may apply such funds to the payment of principal and interest on the bonds or bond anticipation notes. Pursuant to

Local Finance Law Section 107.00(d)(9), a down payment from current funds is not required.

Section 3. The Common Council anticipates that the City may pay certain capital expenditures in connection with the appropriation prior to the receipt of the proceeds of the bonds and/or bond anticipation notes. The Common Council hereby declares its official intent to use bond proceeds to reimburse the City for such expenditures. This section of the Resolution is adopted solely for the purpose of establishing compliance with the requirements of Section 1.150-2 of the Treasury Regulations and does not bind the City to make any expenditure, incur any indebtedness or proceed with the acquisition, construction and installation of the Project.

Section 4. For the purpose of financing the Project, there are hereby authorized to be issued serial bonds of the City up to a maximum amount of \$60,000 the maximum maturity of which shall not exceed the fifteen (15) year period of probable usefulness set forth below, and which shall mature on or before such date as measured from the date of the bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. The bonds may be issued in the form of a statutory installment bond.

Section 5. There are hereby authorized to be issued bond anticipation notes for the specific object or purpose in an amount up to but not exceeding the \$60,000 maximum amount of serial bonds authorized to be issued, in anticipation of the issuance and sale of the serial bonds authorized, including renewals of such bond anticipation notes.

Section 6. There are no bond anticipation notes outstanding which have been previously issued in anticipation of the sale of these bonds. Neither are the bond anticipation notes hereby authorized renewal notes. These bond anticipation notes will not be issued in anticipation of bonds for an assessable improvement. These notes shall mature at such time as the Common Council may determine and may be renewed from time to time, provided that in no event shall such notes or renewals extend more

than one (1) year beyond the original date of issue except as permitted in the Local Finance Law.

Section 7. The period of probable usefulness of said specific object or purpose for which said \$60,000 serial bonds and/or bond anticipation notes authorized pursuant to this resolution are to be issued, within the limitations of Section 11.00 a. sub-section 28 of the Law, is 15 years.

Section 8. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds, shall be general obligations of the Issuer, payable as to both principal and interest by general tax upon all the taxable real property within the Issuer, subject to statutory limitations. The faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the Issuer by appropriation for (a) the amortization and redemption of the bonds and any notes issued in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 9. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00, Section 30.00, Section 50.00, Sections 56.00 to 60.00, inclusive, and Section 63.00 of the Law, the powers and duties of the Common Council relative to authorizing bond anticipation notes and the renewals thereof, determining whether to issue bonds with substantially level or declining annual debt service, prescribing the terms, form and contents of the bonds herein authorized, and any other powers or duties pertaining to or incidental to the sale and issuance of the bonds herein authorized, bond anticipation notes issued in anticipation of said bonds and the renewals thereof, are hereby delegated to the City Chamberlain, the Chief Fiscal Officer of the Issuer. The City Chamberlain, as Chief Fiscal Officer of the City, is authorized to execute and deliver any documents and to take such other action

as may be necessary and proper to carry out the intent of the provisions of this resolution.

Section 10. The exact date of issuance of the bonds and/or notes and the exact date upon which they shall become due and payable shall be fixed and determined by the Chief Fiscal Officer, provided, however, that the maturity of the notes or renewals shall not exceed one (1) year from the date of issue except as permitted by the Local Finance Law.

Section 11. If issued, the bonds and/or notes shall be in registered form, and shall bear interest at the determined rate.

Section 12. The Chief Fiscal Officer shall deliver the bonds and/or notes to the purchaser only against a certified check or other immediately available funds. The proceeds of the sale of the bonds and/or notes shall be deposited and/or invested as required by Section 165.00 of the Local Finance Law, and the power to invest the proceeds of sale is hereby delegated to the Chief Fiscal Officer and the power to invest in any instruments described in Section 165.00 is expressly granted.

Section 13. To the extent that it is permitted to do so under the Internal Revenue Code of 1986, as amended (the "Code"), the Common Council hereby designates the bonds and/or notes as "qualified tax-exempt obligations" under Section 265(b)(3) of the Code. The Common Council hereby covenants that, to the extent permitted under the Code in effect as of the date of issuance of any bonds and/or notes, it will (i) take all actions on its part necessary to cause interest on the bonds and/or notes to be excluded from gross income for purposes of Federal income taxes and (ii) refrain from taking any action which would cause interest on the bonds and/or notes to be included in gross income for purposes of Federal income taxes.

Section 14. Miller, Mannix, Schachner & Hafner, LLC, Glens Falls, New York, is the City's bond counsel.

Section 15. The validity of the bonds authorized by this resolution and of any notes issued in anticipation of the sale of said bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the Issuer is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this resolution are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the constitution of the State of New York.

Section 16. The City Clerk is hereby authorized and directed to publish this resolution or a summary thereof, together with a Notice attached in substantially the form prescribed in Section 81.00 of the Law, in the official newspaper of the Issuer.

Section 17. This resolution shall take effect immediately after its adoption.

The adoption of the foregoing resolution was seconded by _____ and duly put to a vote on roll call, which resulted as follows:

AYES: _____

NOES: _____

The resolution was declared adopted.

* * * * *

STATE OF NEW YORK)
) ss:
CITY OF PLATTSBURGH)

I, SYLVIA PARROTTE, the undersigned City Clerk of the City of Plattsburgh, New York, DO HEREBY CERTIFY:

That I have compared the annexed Extract of the Minutes of the meeting of the Common Council (the "Common Council"), including the resolution contained therein, held on May 22, 2014, with the original thereof on file in my office, and that the same is a true and correct transcript therefrom and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that all members of said Common Council had due notice of said meeting.

I FURTHER CERTIFY that, pursuant to Section 103 of the Public Officers Law (Open Meetings Law), said meeting was open to the general public.

IN WITNESS WHEREOF, I have hereunto set my hand and affix the seal of the City of Plattsburgh on _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)

Estoppel Notice

**ESTOPPEL NOTICE/SUMMARY OF BOND RESOLUTION
AUTHORIZING THE ISSUANCE OF UP TO \$60,000
IN SERIAL BONDS AND/OR BOND ANTICIPATION NOTES
OF THE CITY OF PLATTSBURGH TO PAY
THE COST OF AN ASPHALT HEATER TRAILER**

The Bond Resolution which is summarized below was adopted by the City of Plattsburgh Common Council on May 22, 2014. The validity of the obligations authorized by the Bond Resolution may be contested only if (i) the obligations were authorized for an object or purpose for which the City of Plattsburgh is not authorized to expend money or (ii) the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or (iii) such obligations were authorized in violation of the provisions of the State Constitution.

The specific object or purpose for which the obligations authorized by the Bond Resolution are to be issued is the purchase of an asphalt heater trailer, including related preliminary and incidental costs (the "Project"). The maximum estimated cost of the Project is \$60,000. The plan of financing the maximum estimated cost includes the issuance of up to \$60,000 in serial bonds and/or bond anticipation notes of the City. The period of probable usefulness of the Project is fifteen (15) years, pursuant to Section 11.00(a)(28) of the Local Finance Law. For the purpose of paying the cost of the Project, the Bond Resolution authorized the issuance of serial bonds and/or bond anticipation notes, including renewals of the bond anticipation notes, of the City up to a maximum amount of \$60,000, the maximum maturity of which will not exceed the Project's 15-year period of probable usefulness. A tax sufficient to pay the principal of and interest on such obligations as they become due and payable will be levied on all the taxable real property of the City annually. A full copy of the Bond Resolution will be available for public inspection during normal business hours for twenty (20) days following publication of this Summary at the offices of the City Clerk, Plattsburgh City Hall – Second Floor, 41 City Hall Place, Plattsburgh, New York.

City Clerk
City of Plattsburgh, New York

Estoppel Certificate

CERTIFICATE OF CLERK

I, SYLVIA PARROTTE, City Clerk of the City of Plattsburgh (the "Issuer"), New York, DO HEREBY CERTIFY, as follows:

That a resolution of the Common Council of the Issuer was adopted on May 22, 2014, entitled:

"BOND RESOLUTION OF THE CITY OF PLATTSBURGH, NEW YORK, ADOPTED MAY 22, 2014, AUTHORIZING PURCHASE OF AN ASPHALT HEATER TRAILER (PROJECT H5110.46), STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$60,000, APPROPRIATING SAID AMOUNT THEREFOR, AUTHORIZING THE ISSUANCE OF UP TO \$60,000 SERIAL BONDS OF SAID CITY TO FINANCE SAID APPROPRIATION, AND AUTHORIZING THE ISSUANCE OF UP TO \$60,000 BOND ANTICIPATION NOTES OF THE CITY FOR THE SAME PURPOSE"

and such resolution contained an estoppel clause as permitted by §80.00 of the Local Finance Law and that a Notice setting forth the information required by §81.00 of the Local Finance Law together with a summary of such resolution was duly published as required by law.

That to the best of my knowledge, no action, suit or proceeding contesting the validity of the obligations authorized by such resolution was commenced within twenty days from the date of publication of such resolution and notice, or at any other time since said publication.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Issuer this ___ day of _____, 2014.

City Clerk
City of Plattsburgh

(SEAL)